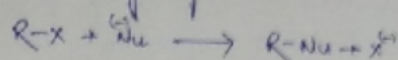


# *SEM II STUDY MATERIAL*

# **Substitution and Elimination Reactions**

## Nucleophilic Substitution in aliphatic compounds:

generally represented as



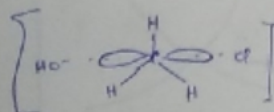
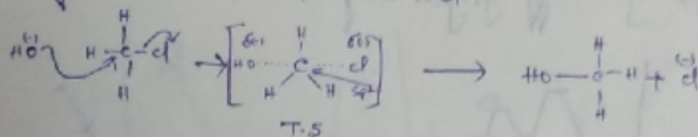
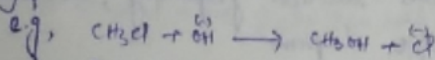
Aliphatic nucleophilic substitution reaction may be further classified into the following three types.

- |                       |                        |         |
|-----------------------|------------------------|---------|
| i) $S_N^2$ reaction.  | ii) $S_N^1$ reaction.  | } $S_N$ |
| ii) $S_N^1$ reaction. | iii) $S_N^i$ reaction. |         |
- $S$  = Substitution  
 $N$  = Nucleophile  
 $2$   $\Rightarrow$  order / molecularity  
 $i$   $\Rightarrow$  intramolecular

### $S_N^2$ reaction:

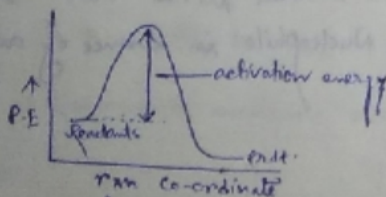
main features of  $S_N^2$  reaction may be noted as follows:

- i) Single step process.
- ii) bimolecular
- iii) Here nucleophile approaches to the carbon bearing leaving group from very backside with respect to leaving group.
- iv) If the leaving group bearing carbon is chiral, inversion of configuration occurs at this chiral carbon.



$\therefore$  this reaction passes through five membered T.S. as shown above.

If we represent the  $S_N^2$  reaction graphically, we shall get the following type of energy profile diagram



Here reaction rate ~~is proportional to concentration~~  $\propto [Substrate][Nu]$   
 $= k [Subs][Nu]$  ( $k$  = rate const)

There are two concentration variables here. Any change either in the concentration of substrate or in the concentration of nucleophile will alter the rate of reaction.

Evidence in favour of  $S_N2$  reaction  $\Rightarrow$

1) Kinetic Study:

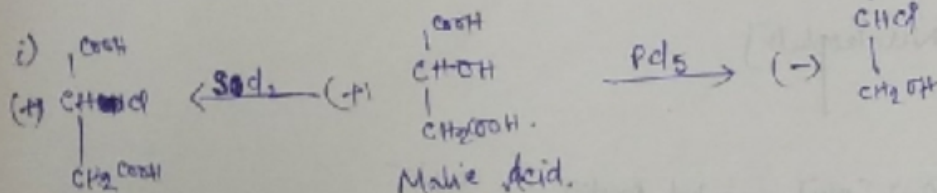
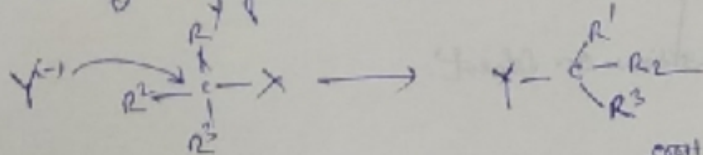
$$\text{Rate} = k [\text{Substrate}] [\text{Nucleophile}]$$

$2 \Rightarrow$  Molecularity.

$$\text{Rate} = k [\text{Substrate}]$$

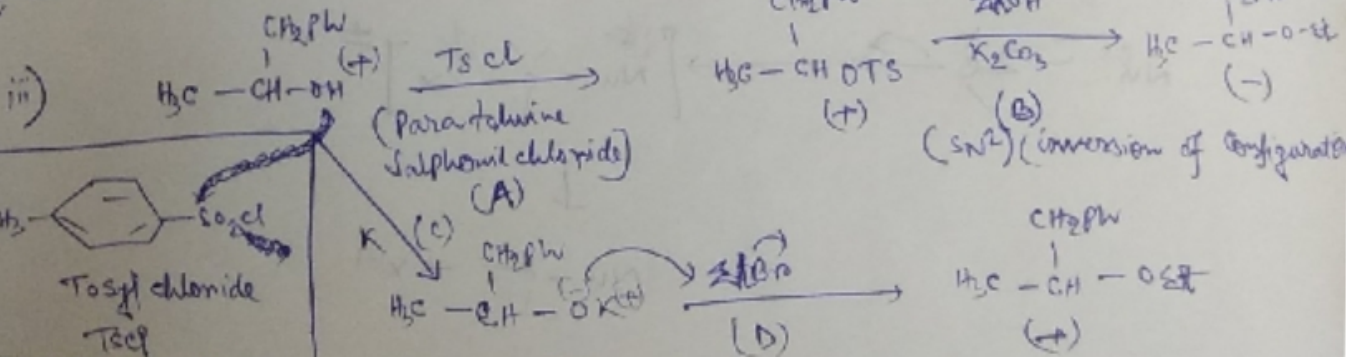
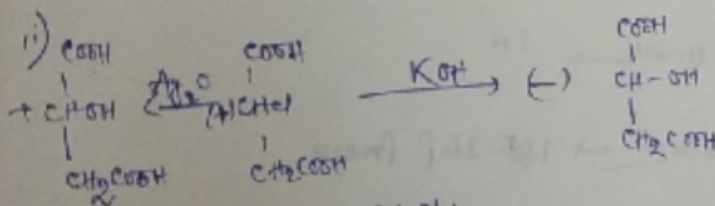
Pseudo 1st Order reaction

2) Inversion of Configuration  $\Rightarrow$  Walden Inversion.



One retention and other inversion.

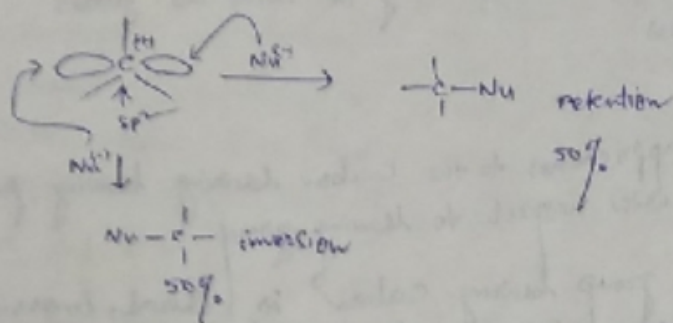
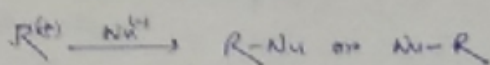
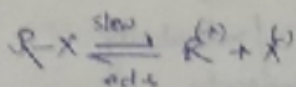
Which is which?



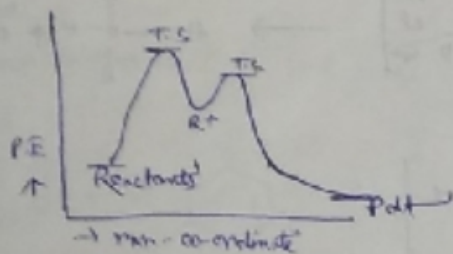


SN<sup>1</sup> reactions: Main features of SN<sub>1</sub> reaction may be noted as follows

- i) This is two step process
- ii) unimolecular
- iii) In the first step of this reaction the substrate undergoes slow reversible dissociation to form carbocation. In the next step nucleophile adds to the carbocation to form product molecule.
- iv) Here, racemisation occurs. (If the substrate is chiral)



If we represent this reaction graphically, we shall get the following type of energy profile diagram



$$\text{Reaction rate} \propto [\text{Substrate}]$$

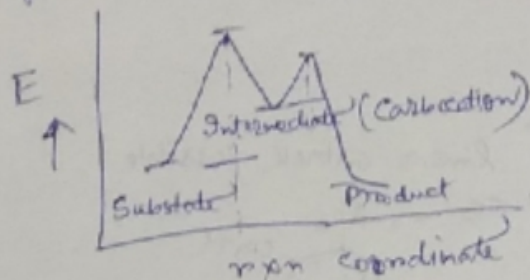
$$= k [\text{Substrate}]$$

Only substrate concentration is involved in the rate equation. In fact, solvent may play the role of nucleophile in absence of any external nucleophile.

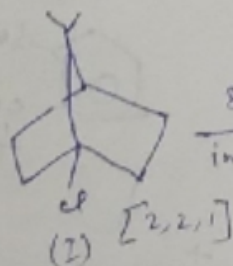
Evidence in favour of SN<sup>1</sup> mechanism:

1) Rate = k[Substrate] — 1st order

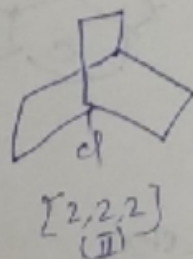
Energy Profile diagram



2) Solvation of Carbocation.



$\xrightarrow[\text{in ethanol}]{80\% \text{ NaOH}}$  no reaction  
 No SN<sup>1</sup>



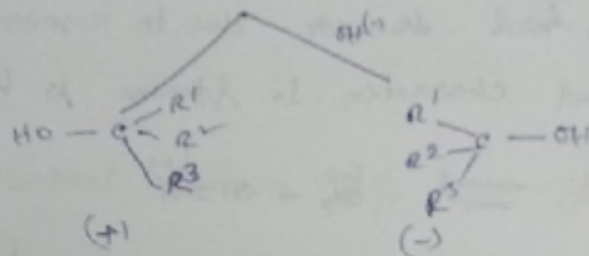
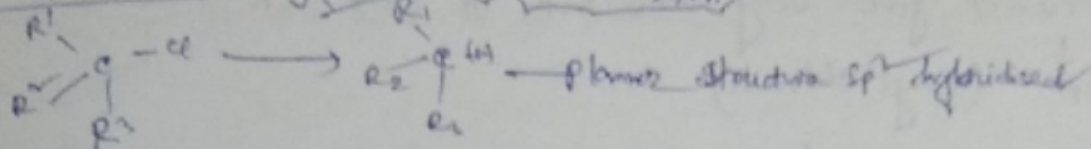
$\xrightarrow{\text{SN}^1 \text{ reagent}}$  slow rate SN<sup>1</sup>



$\xrightarrow{\text{SN}^1 \text{ reagent}}$  slow rate SN<sup>1</sup>

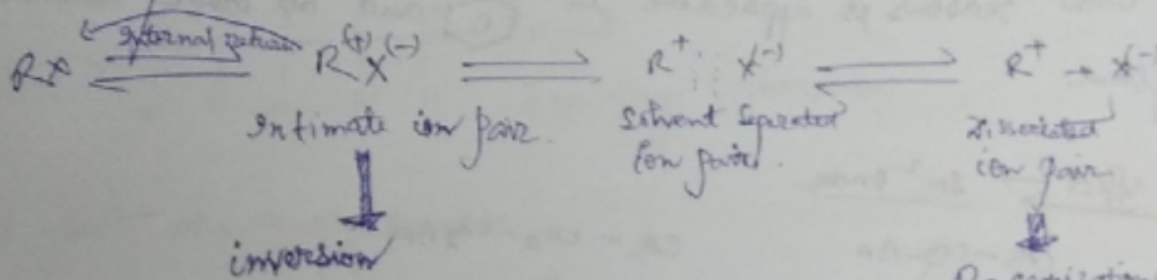
Two methyl group in (I) hinder the formation of carbocation, thus it does not take part in reaction. Formation of carbocation is easy for II & III. Thus SN<sup>1</sup> reaction occurs through carbocation formation.

Stereochemical evidence  $\Rightarrow$  Racemization in  $S_N1$  rxn



Racemic mixture

$\Rightarrow$  Net 5-20% inversion and rest is racemisation in case of  $S_N1$  reaction



[As in case of  $S_N1$  ~ 90% racemisation observed. Thus it must go through carbocation formation.]

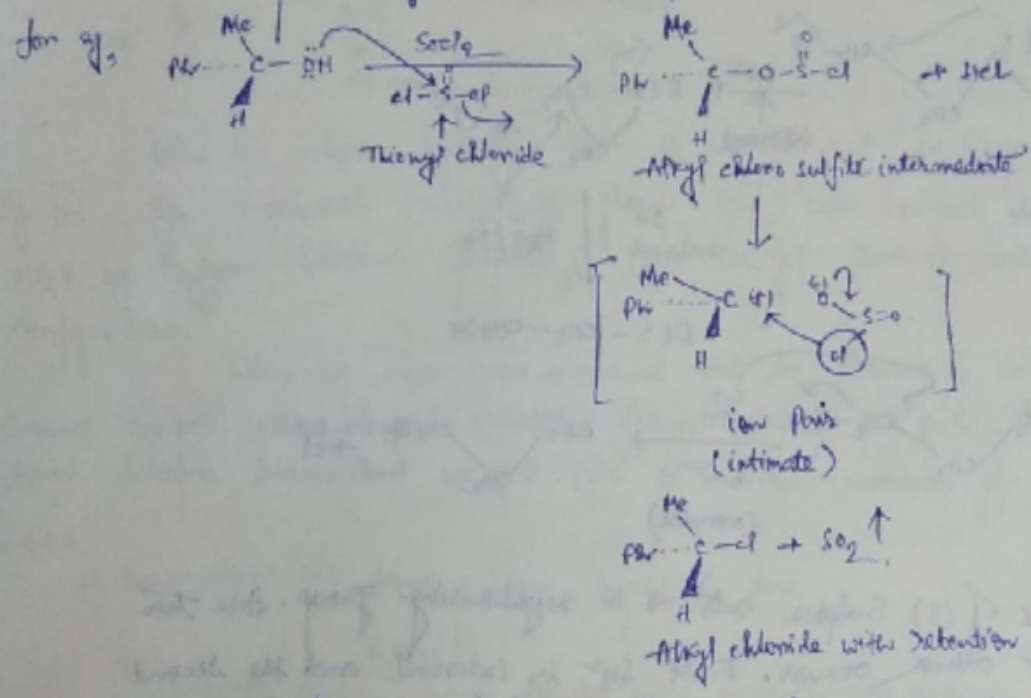
Racemization only observed in this rxn



$S_N1$  rxn  $\Rightarrow$  Internal nucleophilic substitution.

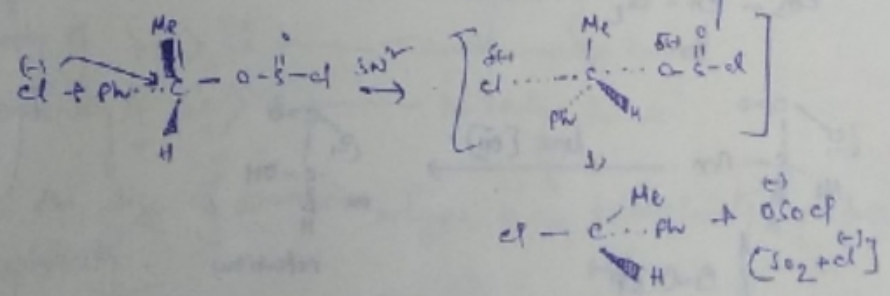
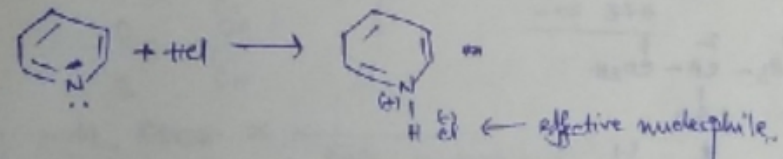
There no external nucleophile is required.  
 Here nucleophile is generated internally.

$S_N2 \rightarrow$  inversion  
 $S_N1 \rightarrow$  racemization  
 $S_Ni \rightarrow$  retention



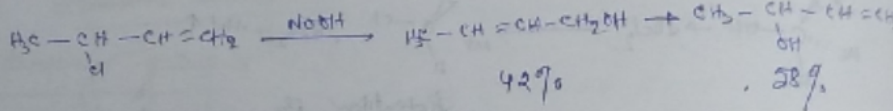
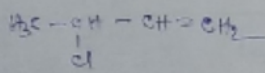
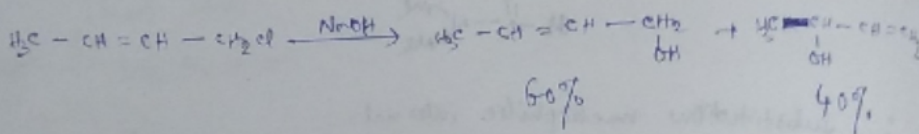
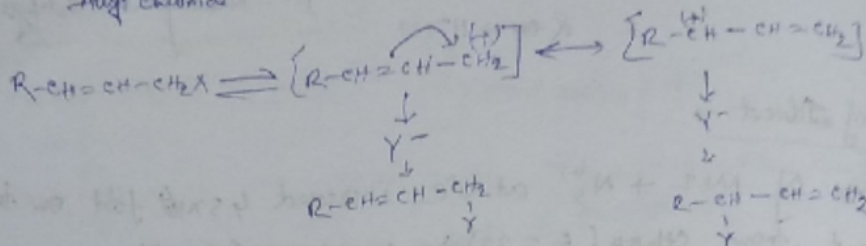
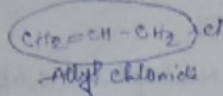
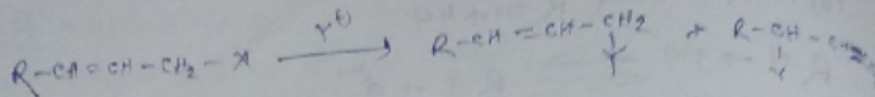
$S_Ni$  rxn involves the formation of alkyl chlorosulphite intermediate. This intermediate breaks down into the intimate ion pair as shown above, then attack by  $Cl^-$  occurs on the same side of carbocation from which  $SO_2$  departs. Thus here retention of configuration occurs.

When the above reaction is carried out in presence of Pyridine, Pyridine hydrochloride is generated by the rxn with  $HCl$ . Here  $Cl^-$  is an effective nucleophile. So rxn follows  $S_N2$  mode and it will give product with inversion of configuration.

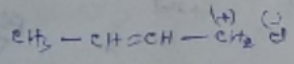


# Nucleophilic Substitution at allylic carbon —

$S_N1 \rightarrow$

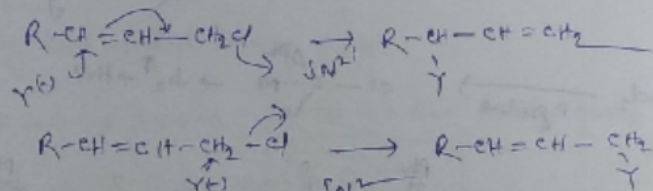


## Product Spread.

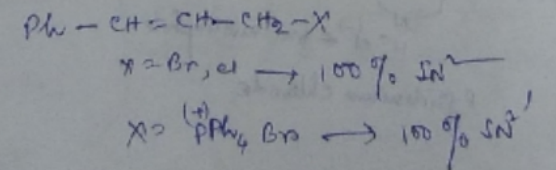


From intimate ion pair we get some substrate so percentage vary

$S_N2 \rightarrow$



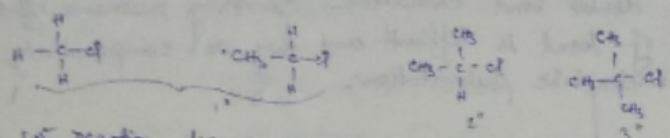
Larger the size of nucleophile and leaving group larger will be the chance of  $S_N2$ .





Comparative Study of  $S_N2$  and  $S_N1$  reaction:

- Nature of Substrate
- Nature of the alkyl group:



Rate of  $S_N2$  reaction depends upon

- Effect of alkyl group decreases electrophilicity of carbon bearing leaving group.  
 - Presence of alkyl group around the carbon increases steric repulsion in the TS.

- Electrophilicity of the carbon bearing leaving group
- Steric repulsion in the five membered TS

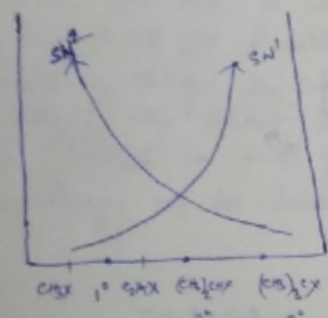
$$\text{Nu}^- + \text{R}-\text{C}(\text{L})-\text{Cl} \rightarrow \left[ \text{Nu} \cdots \text{C} \cdots \text{L} \right]^\ddagger \rightarrow \text{Nu}-\text{C} + \text{L}^-$$

Rate of  $S_N1$  reaction depends upon the stability of the carbocation

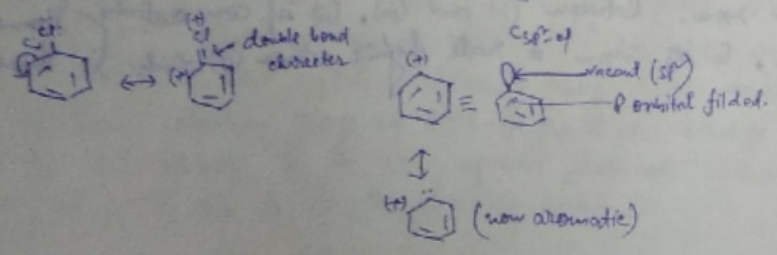
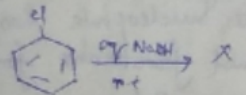
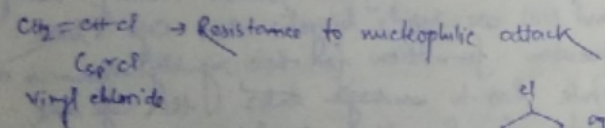
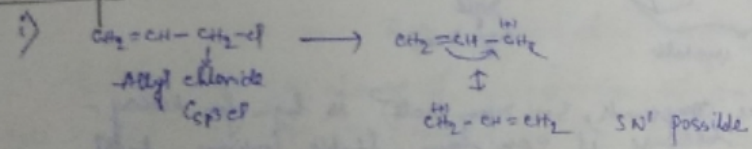
From L to R in the above alkyl halides rate of  $S_N1$  reaction decreases though  $S_N1$  reaction rate increases with increasing stability of the formed carbocation.

From the above discussion we can conclude that,

- generally,
- 1° alkyl halide  $\rightarrow$   $S_N2$  rxn rate high
  - 2° alkyl halide  $\rightarrow$  mixed  $S_N1$  and  $S_N2$
  - 3° alkyl halide  $\rightarrow$   $S_N1$  rxn rate high

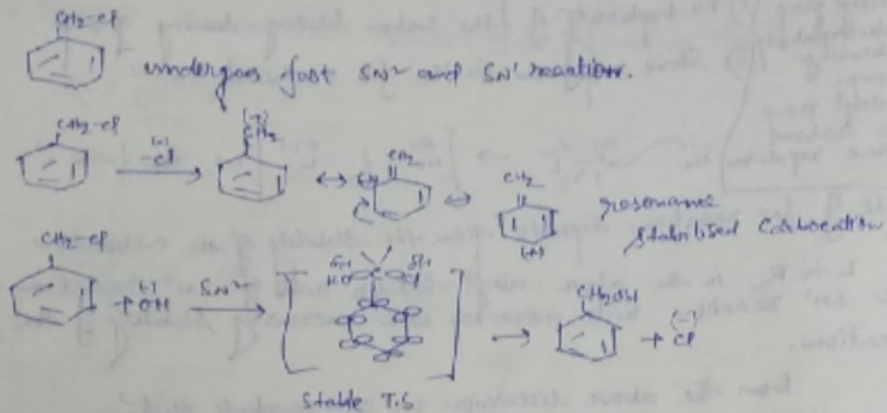


Some Examples  $\Rightarrow$



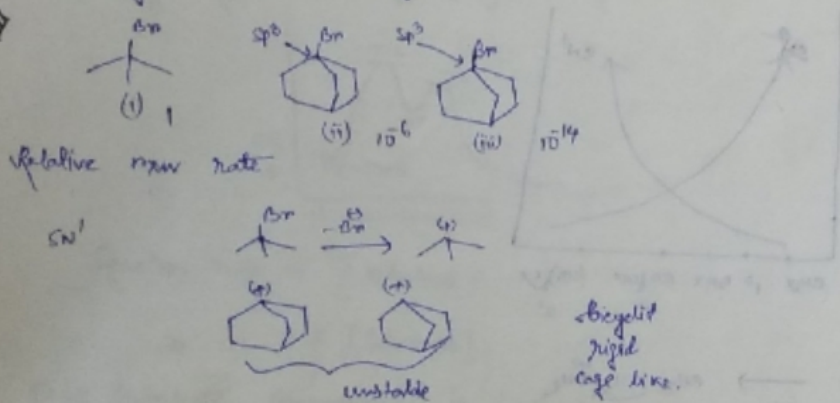
Allyl chloride can undergo nucleophilic substitution because it contains  $Csp^2-Cl$  bond and it can form resonance stabilized carbocation. But vinyl cation and phenyl cation are unstable. They contain  $Csp^2$  bond and this bond may get double bond character involving resonance effect. So here breaking of bond is difficult and they are comparatively inert towards nucleophilic substitution.

(ii) Benzyl chloride:



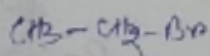
In case of benzyl chloride  $S_N1$  reaction rate is high for stable carbocation formation in case of  $sp^2$  reaction. The p-orbital of the central carbon atom is parallel with the p-orbital of benzene ring and the T.S. becomes very stable. So  $S_N2$  rxn rate becomes high.

(iii)

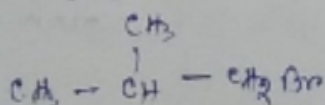


In the above three compounds,  $S_N1$  rxn rate is high for tertiary - butyl bromide molecule because it can form stable tertiary - butyl carbocation. Substrates (ii) and (iii) are rigid, bicyclic and cage like they cannot undergo carbocation formation for their rigidity. Again in case of  $S_N2$  rxn the nucleophile has to undergo enter the cage like structure, this is not favourable for high steric repulsion. So, they will not undergo  $S_N2$  rxn. Between (ii) and (iii), (ii) is comparatively symmetrical and flexible, so its rxn rate higher than (iii) which is more rigid.

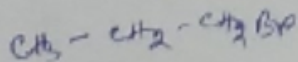
ii)  $\beta$  effect  $\rightarrow$   $S_N2$  order



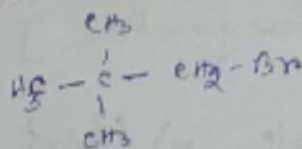
①



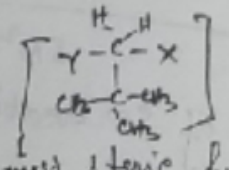
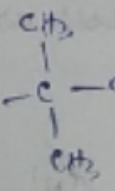
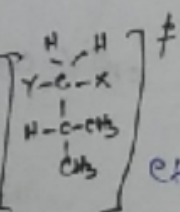
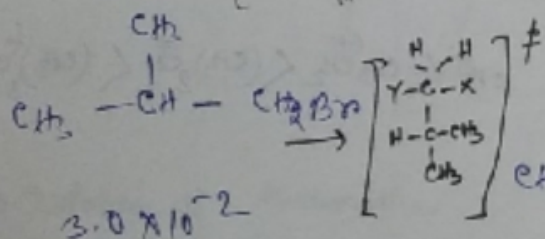
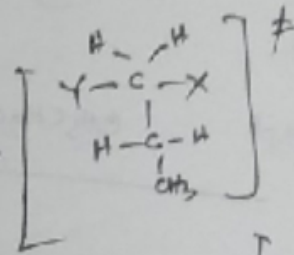
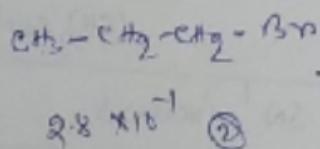
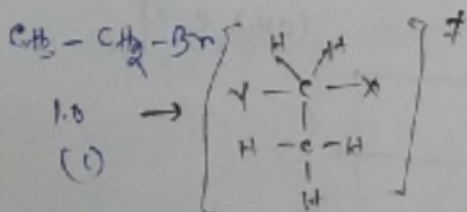
③



②



④



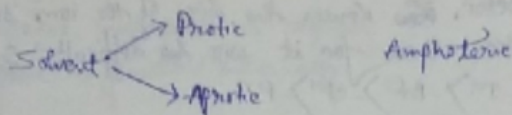
most steric hindrance  
 thus rate is low.

Here  $X = Br$   
 $Y = CH_2CH_3$   
 group

The reaction rate is expressed in EtOH with  $-EtO^-$  in  $55^\circ C$ .

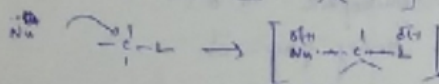
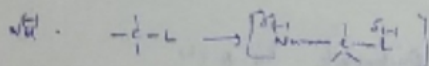


## 2) Nature of solvent :-



Polar-hydroxylic - (H<sub>2</sub>O, EtOH)

Polar-non hydroxylic [DMF (HCONMe<sub>2</sub>), DMSO (CH<sub>3</sub>)<sub>2</sub>S]

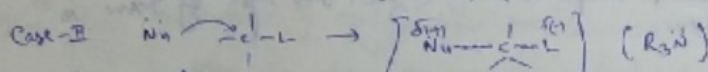
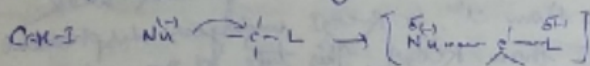


Polar

Polar dissolves polar

SN<sup>1</sup> → As SN<sup>1</sup> rxn occurs through the formation of carbocation, any solvent that will increase ionisation and stability of carbocation, will make SN<sup>1</sup> rxn faster. So generally polar solvent assists ionisation and increases stability of carbocation, so SN<sup>1</sup> rxn will be very favourable in more polar solvents like EtOH, MeOH, H<sub>2</sub>O etc.

The effect of solvent on SN<sup>2</sup> rxn depends upon the nature of transition state.



In case of (I) the rxn rate is retarded with increasing solvent polarity. Because this type of TS will not get stability from polar solvent where both ends are partially negatively charged. In case of (II) the rate of the SN<sup>2</sup> rxn is increased as the polarity of the solvent increases as this TS is polar, this type of TS is more solvated in more polar solvent. So this TS will be more stable, activation energy will be lower and SN<sup>2</sup> rxn will be faster.

## 3) Nature of nucleophile:

SN<sup>1</sup> rxn: Rate ∝ [Substrate]

SN<sup>2</sup> rxn: Rate ∝ [Substrate] [Nucleophile]

Thus we can say change of concentration of or nature of nucleophile in case of SN<sup>1</sup> rxn has no important role. But concentration and nature of nucleophile may play important role as on SN<sup>2</sup> rxn. In case of SN<sup>1</sup> rxn, in absence of nucleophile solvent may play the role of nucleophile.

Now the nucleophilicity of different ions like halide ions depends upon the nature of solvent also. In protic solvent nucleophilicity of I<sup>-</sup> > Br<sup>-</sup> > Cl<sup>-</sup> > F<sup>-</sup>. But in aprotic solvent (celv, cels etc) nucleophilicity of F<sup>-</sup> > Cl<sup>-</sup> > Br<sup>-</sup> > I<sup>-</sup>. In aprotic solvent only charge density factor is considered as here solvation plays no role. Higher the charge on the ions higher will be nucleophilicity. So in aprotic solvent solvent nucleophilicity of F<sup>-</sup> > Cl<sup>-</sup> > Br<sup>-</sup> > I<sup>-</sup>, just is also the basicity order.

## Nucleophilicity & Basicity →

① electron pair donates to C — Nucleophilicity  
electron pair donates to H — basicity.

② Basicity → Thermodynamically controlled.  
Nucleophilicity → Kinetically controlled.

③ Nucleophilicity depends on steric crowding.  
→ steric effect increases — Nucleophilicity decreases.

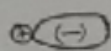
→ Basicity does not depend on steric crowding.

HSAB Principle: Hard acid tends to bind with hard base and soft acid tends to bind with soft base.

Hard base:  $R-O^-$  ← more electronegative electron donating atom (hard to oxidised).

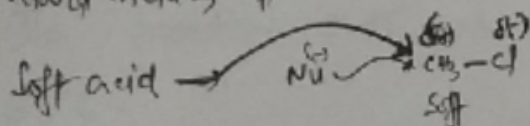
Soft base:  $R-S^-$  ← less electronegative electron donating atom (easy to oxidised)

## Polarisability:



- More polarisable ⇒ soft base
- Less polarisable ⇒ hard base

Hard acid →  $H^+$



$\begin{matrix} \delta^+ \\ CH_3 \end{matrix}$  — Hard acid

## Nucleophilicity and Basicity order:

~~OH<sup>-</sup> > H<sub>2</sub>O > NH<sub>2</sub><sup>-</sup> > NH<sub>3</sub> > MeO<sup>-</sup> > PhO<sup>-</sup> > MeCO<sub>2</sub><sup>-</sup>~~

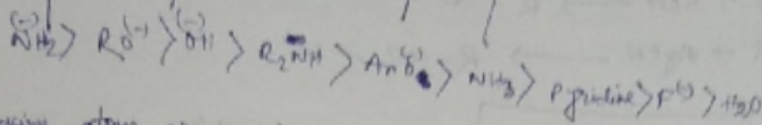
i)  $OH^- > H_2O$  more nucleophilic than its conjugate acid.

ii)  $NH_2^- > NH_3$

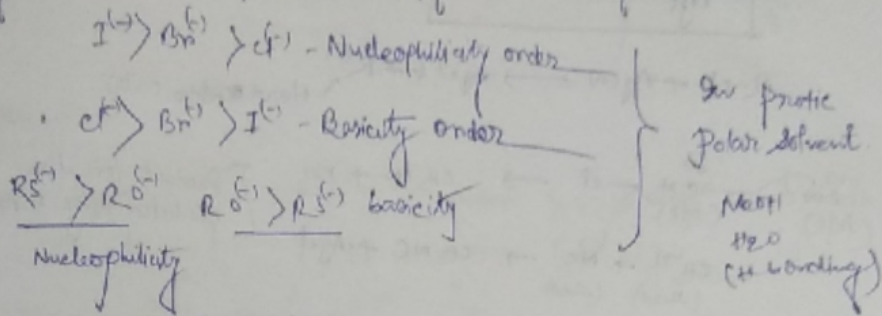
ii) Attacking atom is same, basicity and nucleophilicity parallel  
 $MeO^- > PhO^- > MeCO_2^-$



③ Attacking atom are in the same period (row)  
 Basicity order  $F^- > OH^-$  and nucleophilicity are same



④ Attacking atom are in the same group then nucleophilicity and basicity are opposite in protic polar solvent.

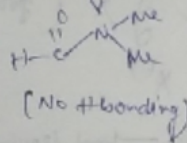
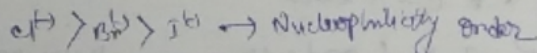


i) Polarizability of  $I^-$  is high so better bond formation.

~~Hard acids tend to bond with hard bases and soft acids with soft bases.~~  
 ie  $I^- \rightarrow CH_3-I$

Freeze the nucleophile better the nucleophilicity.

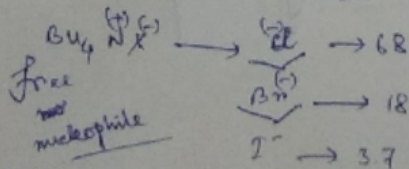
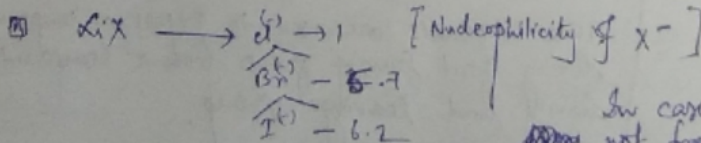
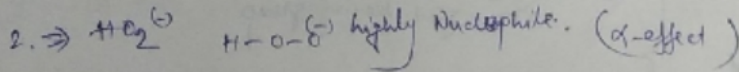
DMF  $\rightarrow$  Aprotic polar solvent



Non Polar -  $CCl_4$  solvent

~~Effect~~

①  $\alpha$ -effect: Nucleophilicity increases if there is a nucleophilic group beside a nucleophile. eg)  $NH_2-NH_2$  highly nucleophile.

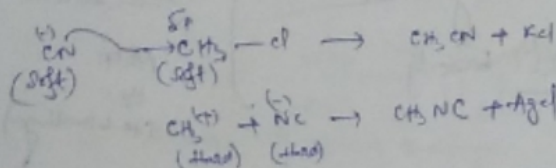
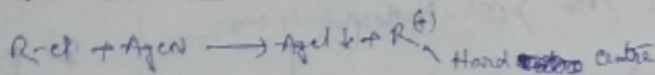
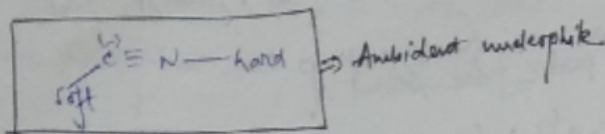
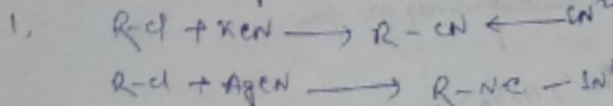


In case of  $LiX$ ,  $X^-$  is not free enough. But in case of  $Bu_3N^+X^-$ ,  $X^-$  is a free nucleophile hence the nucleophilicity order.

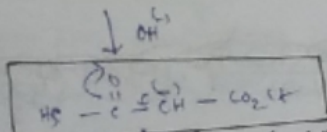
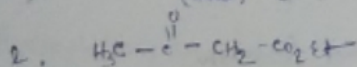
~~Free nucleophilicity order~~

2) Ambident Nucleophile

$C \equiv N$  } show nucleophilic attack can take place from both side of the nucleophile



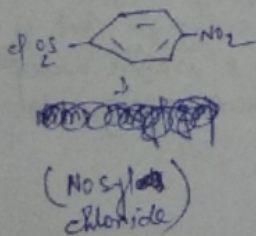
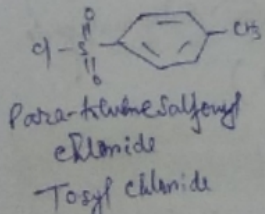
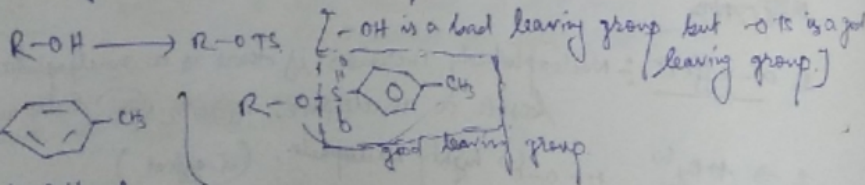
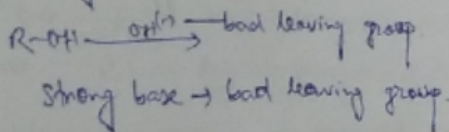
[Product formation can be predicted using HSAB principle]



Ambident nucleophile

3) Nature of leaving group

- 1) Strength of C-X bond
- 2) Polarizability of X (good entering group also a good leaving group)
- 3) Stability of  $X^-$

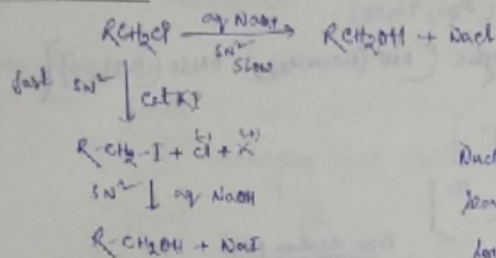


These compounds are used to prepare a compound having good leaving group from a compound having bad leaving group.



In protic solvent, solvation factor is more important than charge density factor. Lower the size of the ions solvation will be higher and nucleophilic attack for it will be difficult. So in protic solvent nucleophilicity of  $I^- > Br^- > Cl^- > F^-$ .

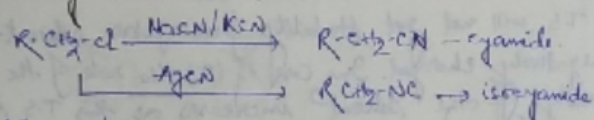
Catalysed  $S_N2$  rxn:



Nucleophile -  $I^-$  &  $I^-$   
 leaving group -  $Cl^-$   
 lower the leaving group ability

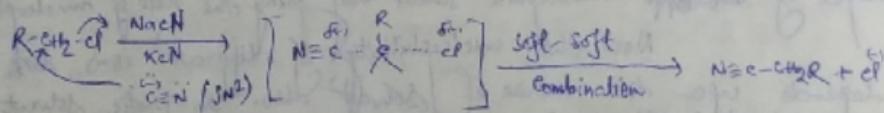
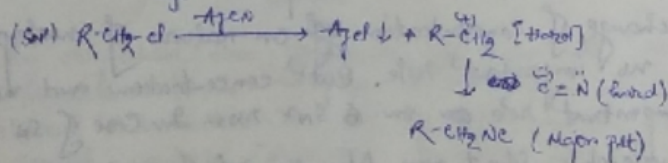
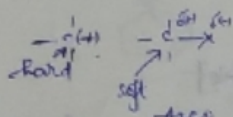
When the above reaction is carried out in absence of  $I^-$ , reaction rate becomes slow because  $I^-$  is stronger nucleophile than  $OH^-$  and  $I^-$  can attack C of C-Cl bond very fast. This is not possible in absence of  $KI$  and then reaction rate becomes slow. When this reaction is carried out in presence of  $KI$  - very fast displacement of  $Cl^-$  by  $I^-$  occurs and we get alkyl iodide. Now C-I bond is longer and weak. Again  $I^-$  is better leaving group than  $Cl^-$  &  $R-CH_2-I$  undergoes displacement by  $OH^-$  at a much faster rate than  $R-CH_2-Cl$ . This type of rxn is called catalysed  $S_N2$  rxn.

Explain the following observation:



Ambident nucleophile and HSAB principle

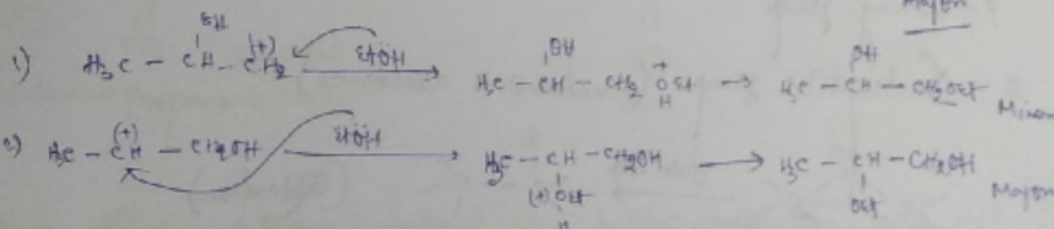
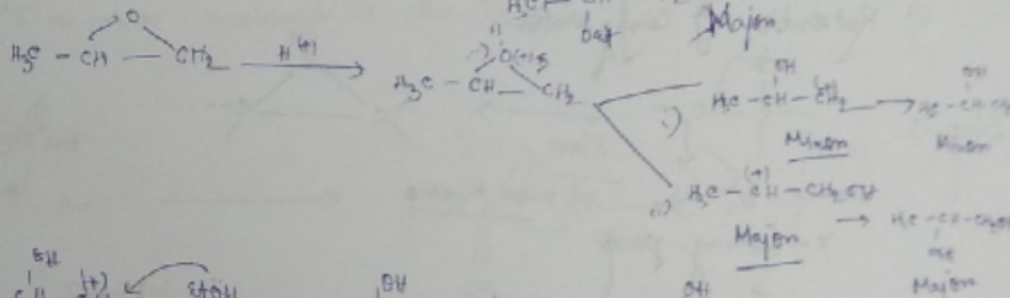
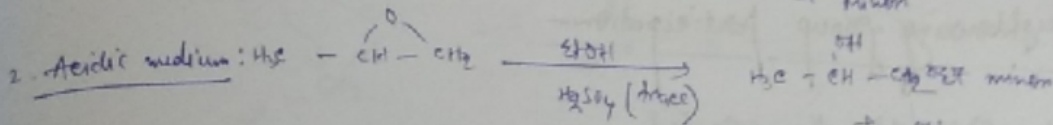
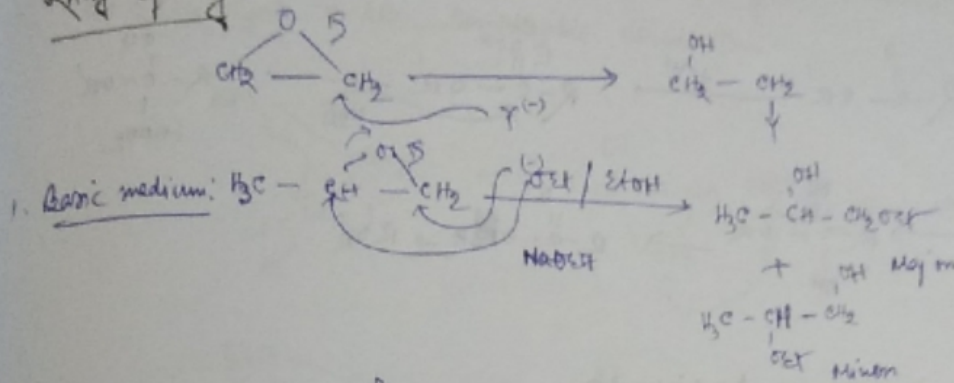
Ambident nucleophile  $C \equiv N$  is  
 soft donor  $\leftarrow$  hard donor



When the above reaction is carried out in presence of  $AgCN$ , rxn follows  $S_N2$  type path through the precipitation of  $AgCl$  and formation of carbocation. Again  $C \equiv N$  is ambident nucleophile. So hard-hard combination occurs and it will give alkyl isocyanide.

When this rxn is carried out in presence of  $NaCN$ , rxn follows  $S_N2$  path, soft-soft combination occurs and it will give alkyl cyanide.

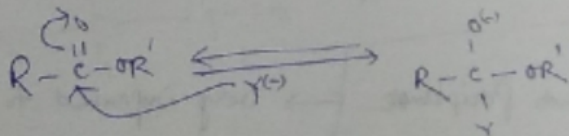
Nucleophilic Substitution at epoxide:  
Ring opening -



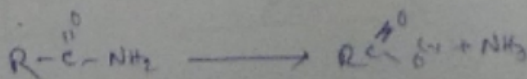
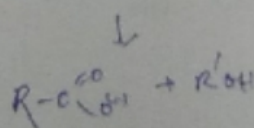
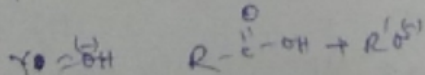
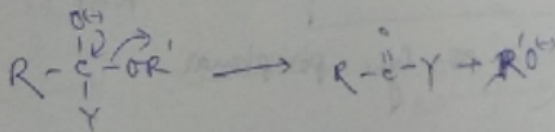
Nucleophilic Substitution at tri-gonal carbon:  
Tetrahedral Mechanism.

1. Basic Medium:

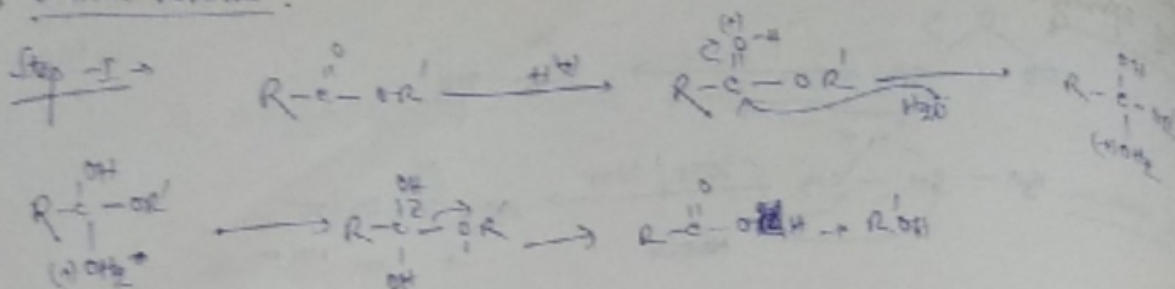
Step I →



Step II →

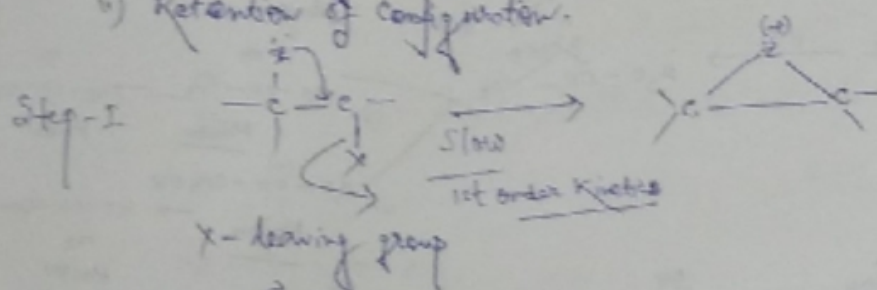


2. Acidic Medium :-

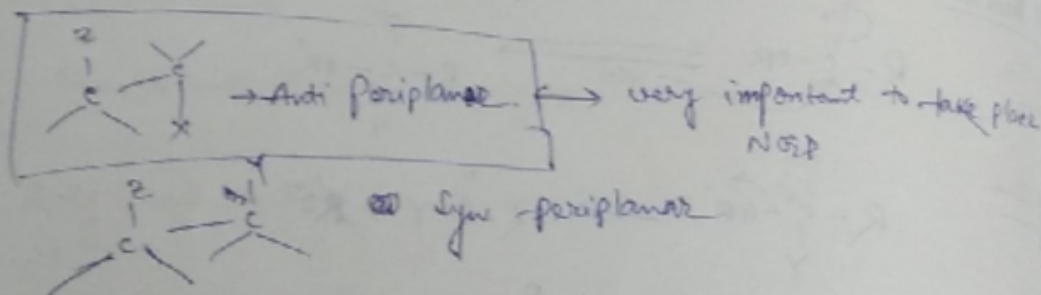
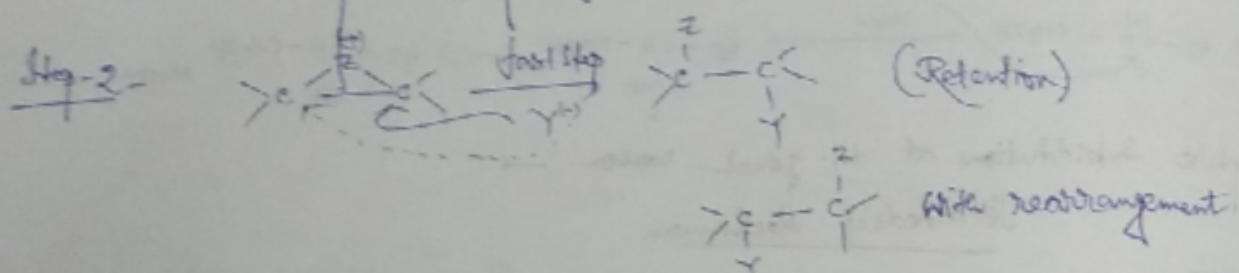


NGP : Neighbouring group participation -

- i) Rate is very fast than expected.
- ii) Retention of configuration.



Z = Nucleophilic group (Anchimeric assistance)

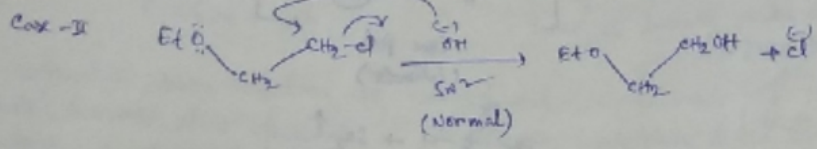
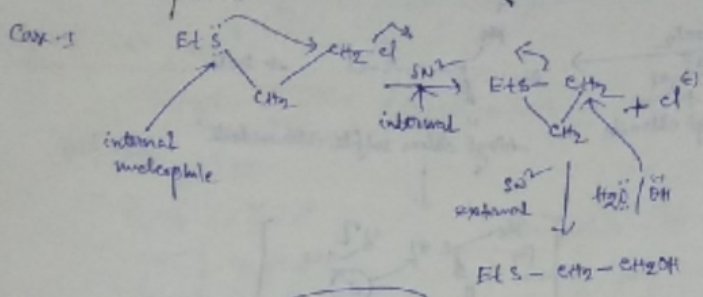


- Z =  $\overset{(-)}{C}O_2$ ,  $COOH$ ,  
 $-NH_2$ ,  $-OH$ ,  $-SH$ ,  
 $-Cl$ ,  $-Br$ ,  $-I$



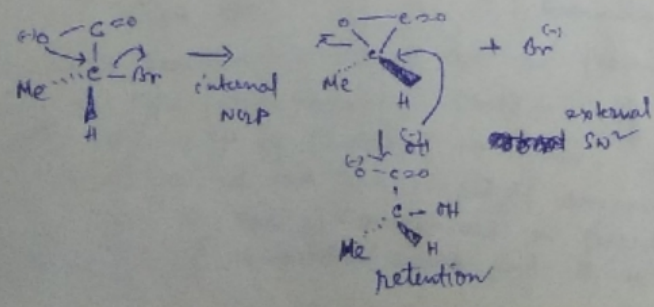
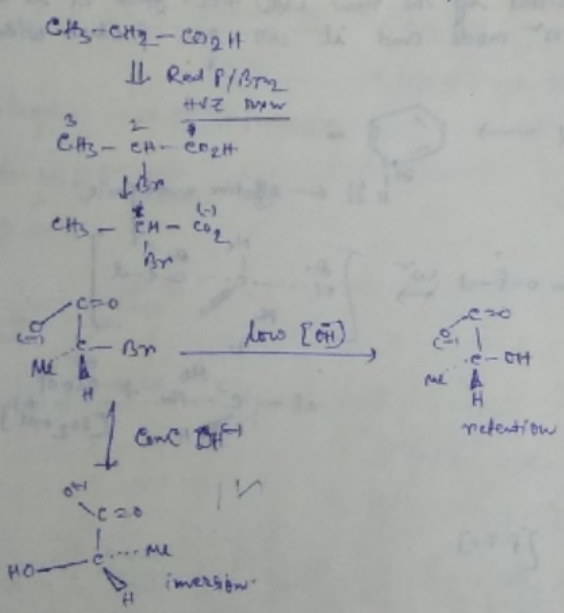
Neighbouring group participation: (NGP)

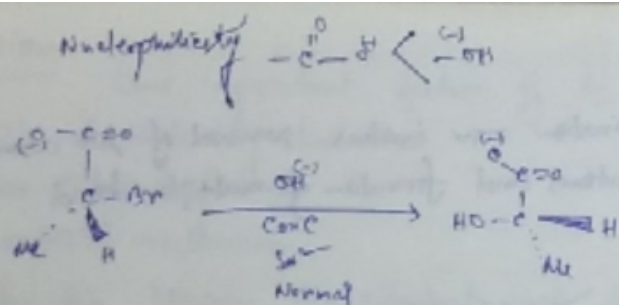
Ex:  $\text{EtS-CH}_2\text{-CH}_2\text{-Cl}$  undergoes solvolysis  $10^4$  times faster than  $\text{EtO-CH}_2\text{-CH}_2\text{-Cl}$ .  
 - Explain.



In case of (I) Sulphur acts as a neighbouring group. Here two consecutive  $\text{S}_\text{N}2$  attacks occur. First  $\text{S}_\text{N}2$  is internal and the second  $\text{S}_\text{N}2$  is external. Thus the rxn rate becomes faster. In case of (II) NGP by oxygen is difficult as oxygen is sufficiently electronegative. So this rxn follows normal  $\text{S}_\text{N}2$  path and becomes slow.

Another example of oxygen as a neighbouring group occurs in the hydrolysis of the 2-bromo propanoate anion at low concentration of  $\text{OH}^-$ .





When the above hydrolysis rxn is carried out in presence of low conc of  $\text{OH}^-$ . Then internal nucleophilic group  $-\text{CO}_2^-$  can compete with  $\text{OH}^-$  and then N<sub>2</sub>P of oxygen becomes possible. Thus we get product with retention of configuration.

When the above rxn is carried out in presence of conc  $\text{OH}^-$ , then  $-\text{CO}_2^-$  cannot compete with  $\text{OH}^-$  and reaction follows normal  $\text{S}_\text{N}2$  path. Thus hydrolysis rxn becomes slower and we get prod with inversion of configuration.

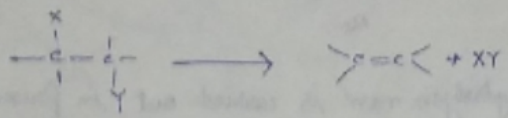
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Elimination rxn:

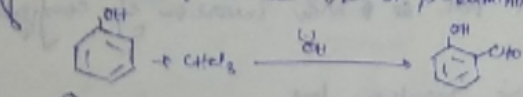
In majority of cases, elimination rxn involves removal of two atoms or group from two adjacent carbon atoms and formation of multiple bond between them.



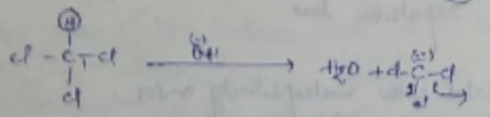
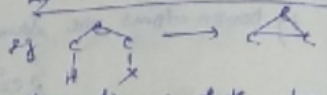
In most cases X is hydrogen(-H). Y may be any other leaving group like Br<sup>-</sup>, I<sup>-</sup> etc. Y = Br, I, Cl etc.

The above type of elimination is 1,2 or β elimination. When two groups or atoms depart from the same carbon atom, it is called α-elimination. γ elimination is also possible but these elimination(β,γ) reactions are rare. So we are mainly interested in the 1,2 or β-elimination reactions.

RT rxn:



1,3 elimination (γ elimination)



1,1 elimination  
or  
α elimination

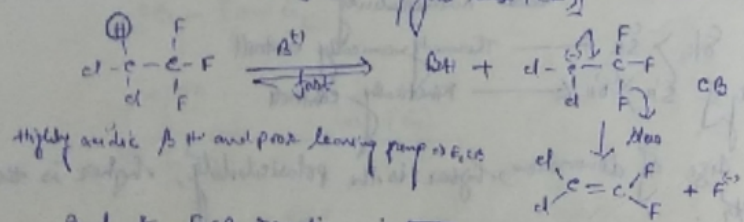
- Thus elimination is of three type
1. α-elimination or 1,1 elimination
  2. β-elimination or 1,2 elimination
  3. γ elimination or 1,3 elimination

CCl<sub>4</sub>  
dichloro carbene

Now β elimination reactions may be classified into different ~~type~~ categories. In this chapter, we shall discuss the following types of β elimination rxns.

- E<sub>1</sub>CB rxn.
- E<sub>2</sub> rxn.
- E<sub>i</sub> rxn.
- E<sub>i</sub> rxn (protolytic E<sub>i</sub> eliminations).

E<sub>1</sub>CB reaction: [Elimination from conjugate base (CB)]



In fact E<sub>1</sub>CB reaction is ~~more~~ rare. An example that almost certainly involves E<sub>1</sub>CB path, it has been stated above. The following factors contribute to E<sub>1</sub>CB path.

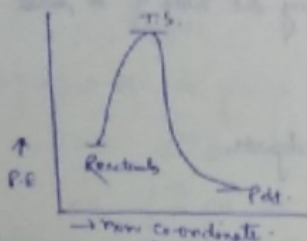
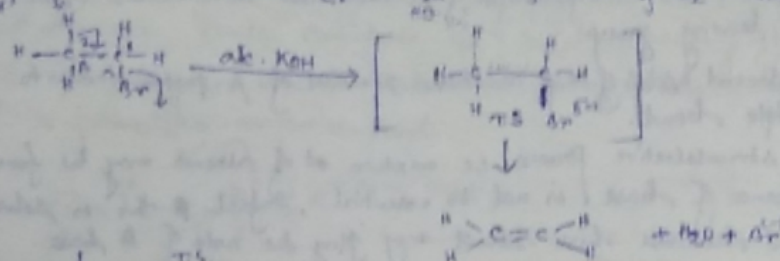
- presence of strong electronegative halogen atom on the β carbon to make the β-H more acidic.
- Stabilisation of the carbanion on CB by -I effect of the halogen atom.
- Very poor leaving character of F<sub>2</sub>.

Here the 2nd step is slow and rds and the first step is fast and ~~more~~ reversible.

E<sub>2</sub> reaction:

Some important features of E<sub>2</sub> reaction may be noted as follows.

- i) This is single step elimination.
- ii) Base catalyzed process.
- iii) Concerted mechanism.
- iv) In this reaction the dihedral angle between the two leaving groups should be 180° i.e. they should be anti periplanar. So this is trans anti elimination process.
- v) Stereospecific reaction. We may expect any particular product (isomeric product from any particular stereoisomer) [evidence in favour of E<sub>2</sub> mechanism].



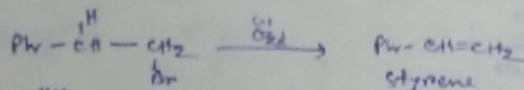
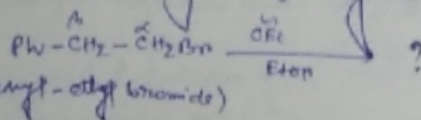
Five atoms or groups involve in T.S. should be coplanar.

$$\text{Reaction Rate} \propto [\text{Substrate}] [\text{B}^-]$$

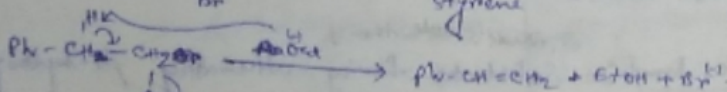
Bimolecular process

vi)  $k_{E2}/k_{E1} \approx 3-8$  which implies C-H bond breaking takes place in the T.S. which is also the evidence in favour of E<sub>2</sub> mechanism.

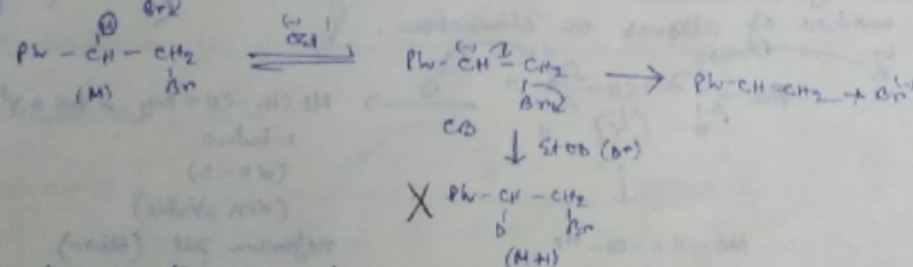
Ex: write down the product of the following reaction with proper mechanism.



E<sub>2</sub> path:



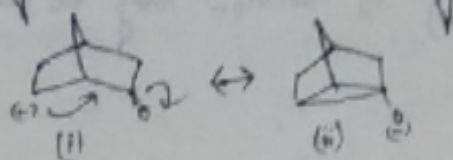
E<sub>1cB</sub> path:



In the above reaction we get styrene. But the two alternative paths are possible. One of them is E<sub>2</sub> and another is E<sub>1cB</sub>. Above reaction is carried out in presence of OEt<sup>-</sup> and EtOH. It is found that Br<sup>-</sup> cannot enter in the substrate. This indicates CO of carbanion cannot form. So the reaction follows E<sub>2</sub> path, but not E<sub>1cB</sub> path.



Draw the resonating structure of the following carbocation.



(more stable)

-ve charge on more electronegative atom.

E<sub>1</sub> reaction:

i) Unimolecular reaction.

ii) Two step process

iii) First step involves the formation of carbocation intermediates after removal of leaving group.

iv) In the second step of this reaction removal of  $\beta$ -proton occurs to form multiple bonds.

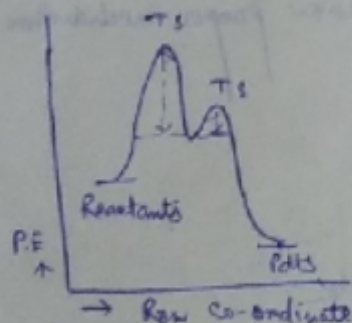
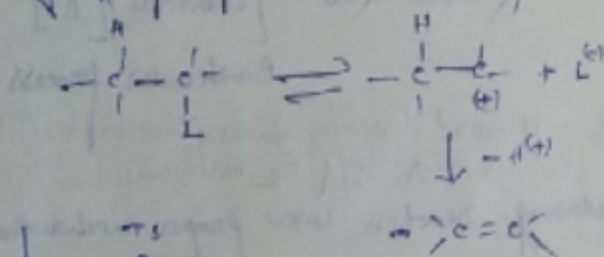
v) This is stereoselective process i.e. mixture of alkenes may be formed.

vi) Here presence of base is not so essential. In fact this is solvolytic elimination because here solvent may play the role of base.

E<sub>2</sub>  $\Rightarrow$  Two leaving groups depart simultaneously.

E1cB  $\Rightarrow$  Proton departs first then leaving group.

E<sub>1</sub>  $\Rightarrow$  Leaving group departs first then proton departs.



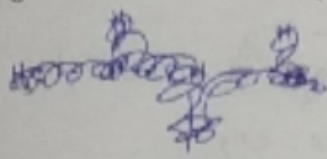
~~Reaction coordinate diagram~~





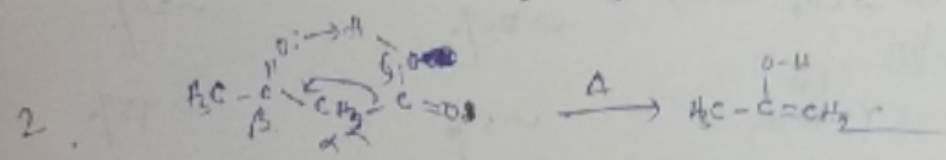
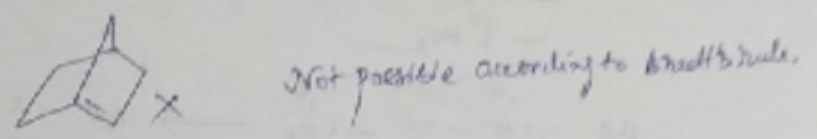
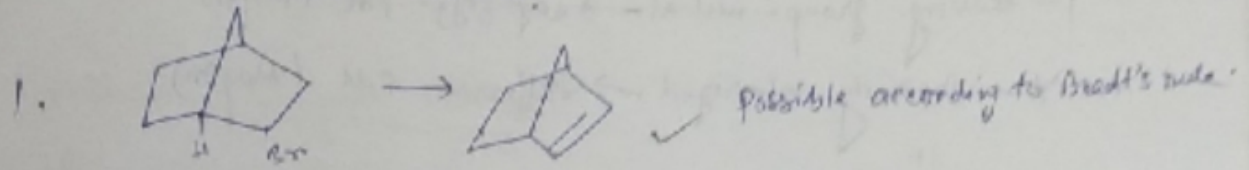


Orientation of double bond can be obtained using two rules.

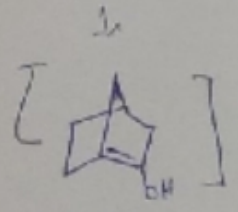
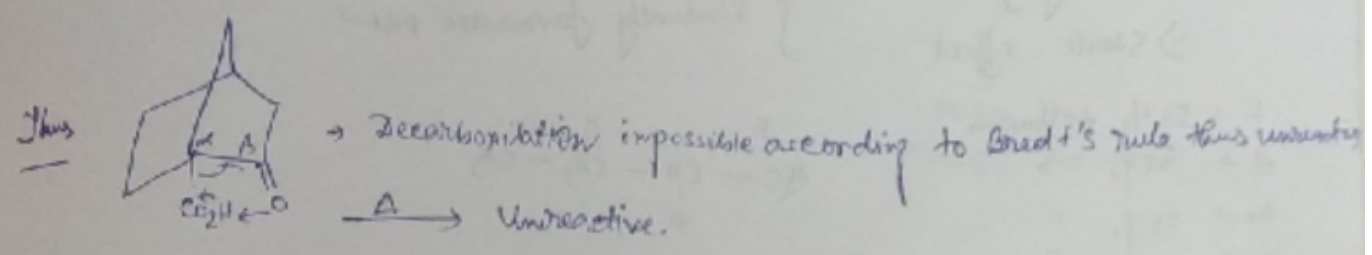
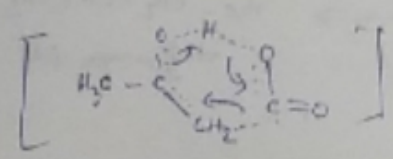


1. Bredt's rule
2. Saytzeff & Hofmann rule

➤ Bredt's rule: Double bond cannot be formed to the bridgehead carbon atom.



$\beta$ -Keto acid

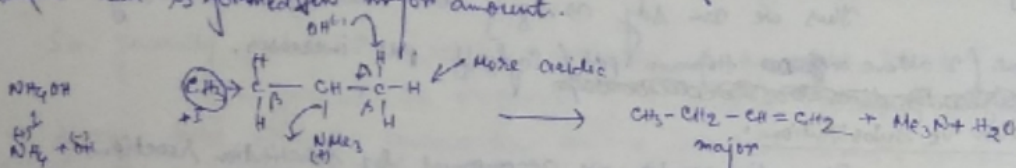


Not possible.

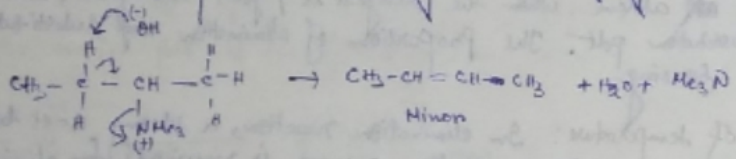
Saytzeff rule: To help in forecasting the major product of elimination, there are two empirical rules. One of them is Saytzeff and another is Hofmann rule. Saytzeff rule states that neutral substrates lead predominantly to that alkene which is more highly substituted on the carbons of the double bond. This rule is very much applicable to E<sub>1</sub> type elimination involving carbocation intermediate.

Hofmann rule: Hofmann rule states that [in case of charged substrates (leaving group bearing +ve charge), the least substituted alkene will be the major product.]

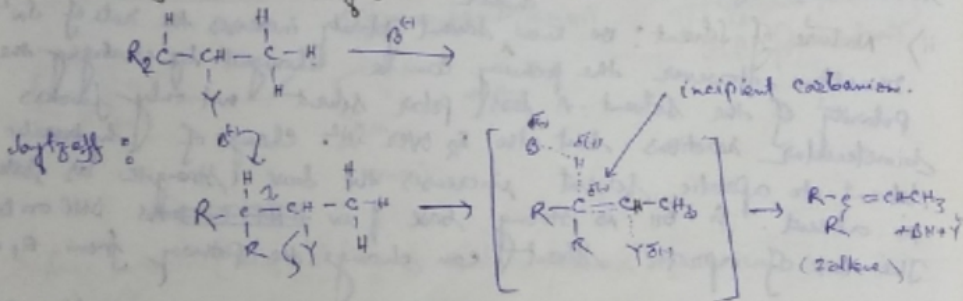
It may be noted that Saytzeff rule considers the ~~stability~~ product stability but Hofmann rule considers the relative acidity of  $\beta$ -hydrogen. More acidic  $\beta$ -hydrogen will be abstracted by base and less substituted alkene ~~is~~ is formed in major amount.



+I effect of -CH<sub>3</sub> group decreases acidity of this  $\beta$ -hydrogen

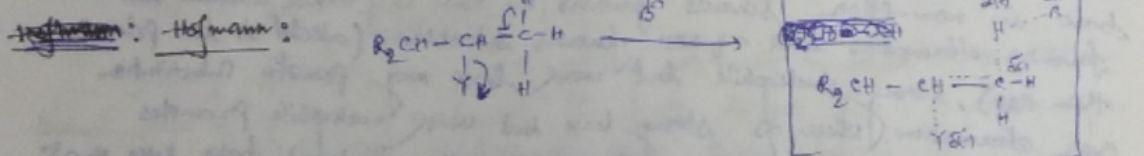


Transition state for Hofmann and Saytzeff elimination:



incipient carbanion:  $\beta$ -carbon occupy slightly enery charge (E<sub>2</sub>)

incipient carbocation: E<sub>1</sub>



Kinetically favored path is Hofmann path is led by two factors: ① acidity of proton ② steric effect

Comparison:

① Bond size (next row)	
② leaving group	
F	→ 23%
Cl	→ 27%
Br	→ 25%
I	→ 20%

$$\text{R}_2\text{CH-CH=CH}_2 + \text{B}^- + \text{H}^+$$
 Hofmann path.  
 (1-alkene)



Size of base and ratio of 1-alkene / 2-alkene

Size of base:	$\text{OC}_2\text{H}_5$ (alc)	$\text{Me}_3\text{CO}^-$	$\begin{matrix} \text{Me} \\ \text{Me} \\ \text{Et} \end{matrix} \text{C}^-$	$\begin{matrix} \text{Et} \\ \text{Et} \\ \text{Et} \end{matrix} \text{C}^-$
% of 1-alkene:	20	72	77	78
% of 2-alkene:	70	28	23	22

As size of base increases, it becomes quite difficult to abstract proton from substituted position for strong steric repulsion with the alkyl group. Then bulky base will take up proton from less substituted position to form 1-alkene in major amount.

Thus we can say as size of base increases, the ratio of 1-alkene / 2-alkene or Hofmann prod / Saytzeff prod increases.

Elimination Vs Substitution:

Elimination reactions are accompanied by substitution reaction. When the reagent is a good base, it abstracts proton to form elimination product of alkene, when the reagent is good nucleophile, then it will give substitution prod. The proportion of elimination and substitution depends upon the following.

i) Effect of temperature: In elimination reaction, a strong C-H bond has to break, hence a high activation energy is required for elimination rather than for substitution.

As  $\Delta H^\ddagger$  is higher for elimination as compared to substitution, the rate of elimination is more sensitive to temperature than substitution.

ii) Nature of solvent: We know, solvent polarity increases the rate of  $\text{S}_\text{N}1$  reaction. However, the pathway can be changed by changing the polarity of the solvent. A less polar solvent not only favours bimolecular reactions but also  $\text{E}_2$  over  $\text{S}_\text{N}2$ . Change of hydrophilic solvent to aprotic solvent increases the base strength as solvation is absent. So  $\text{EtO}^-$  is strong base in  $\text{DMF}$  or  $\text{DMSO}$ . The use of aprotic solvent can change the pathway from  $\text{E}_1$  to  $\text{E}_2$ .

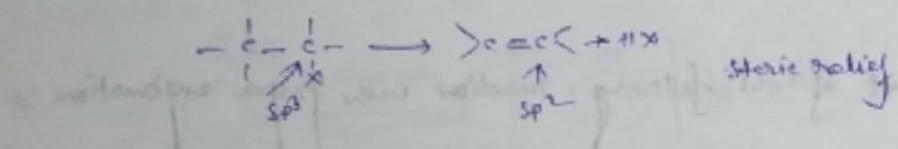
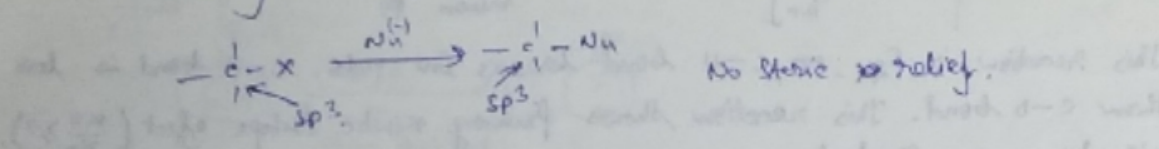
Polar protic solvents  
non-polar  $\rightarrow \text{E}_2$   
Protic  $\rightarrow \text{S}_\text{N}1$   
Aprotic solvents  
polarity increases  
elimination

iii) Nature of base: Generally strong base promotes elimination over substitution and in particular  $\text{E}_2$  over  $\text{E}_1$ . In less base concentration and in polar solvents  $\text{S}_\text{N}1$  is favoured over  $\text{E}_1$ . Higher concentration of base in non-polar solvents favours  $\text{E}_2$  over  $\text{S}_\text{N}2$ . Hence alcoholic  $\text{KOH}$  favours elimination and aq.  $\text{KOH}$  favours substitution. (alcohol is less polar than aq), strong nucleophile but weak base may promote substitution over elimination. Where as strong base but weak nucleophile promotes elimination over substitution. Again sterically hindered base like  $\text{Me}_3\text{CO}^-$  promotes  $\text{E}_2$  over  $\text{S}_\text{N}2$ .

- Strong or weak depends on solvent  
High concentration in non-polar = strong base  $\rightarrow$  elimination  
Low concentration in polar = weak base  $\rightarrow$  substitution.
- Size of base  
creates high substitution < elimination due to steric effect



Structure of the substrate: In general the proportion of elimination increases with increasing increase in branching of the carbon chain. So proportion of elimination increases from  $1^\circ \rightarrow 2^\circ \rightarrow 3^\circ$  alkyl halides. The reason is that alkene produced from branched substrate will be more stable for hyperconjugation. Again during elimination steric strain decreases in the product as hybridisation state of carbon changes from  $sp^3$  to  $sp^2$ . But in case of substituted hybridisation state of carbon in the ~~final~~ ~~product~~ remains same in the final product.

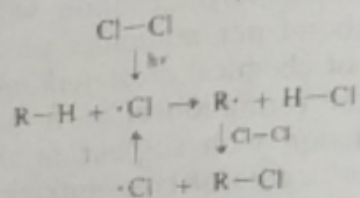


So, generally substitution reaction increases in the order  $3^\circ \rightarrow 2^\circ \rightarrow 1^\circ$

In general the proportion of elimination increases on using a strong base of high concentration and a low polar solvent. On the other hand the proportion of substitution increases by using a weak base of low concentration and high polar solvent.

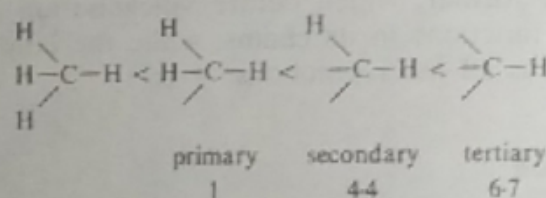


in alkanes. The net displacement occurring at carbon on chlorination, for example, of alkanes consists (after initial formation of  $\text{Cl}\cdot$ ) of H-abstraction from  $\text{R}-\text{H}$  by  $\text{Cl}\cdot$ , followed by Cl-abstraction from  $\text{Cl}-\text{Cl}$  by  $\text{R}\cdot$  (this step can also be regarded as direct displacement from  $\text{Cl}$ ), the two steps alternating in a very rapid chain reaction:



The chain length, i.e. number of  $\text{RH} \rightarrow \text{RCl}$  conversions per  $\text{Cl}\cdot$  produced by photolysis, is  $\approx 10^6$  for  $\text{CH}_4$ , and the reaction can be explosive in sunlight. Chlorination can also be initiated thermolytically, but considerably elevated temperatures are required to effect  $\text{Cl}_2 \rightarrow 2\text{Cl}\cdot$ , and the rate of chlorination of  $\text{C}_2\text{H}_6$  in the dark at  $120^\circ$  is virtually undetectable. It becomes extremely rapid on the introduction of traces of  $\text{PbEt}_4$ , however, as this decomposes to yield ethyl radicals,  $\text{Et}\cdot$ , at this temperature, and these can act as initiators:  $\text{Et}\cdot + \text{Cl}-\text{Cl} \rightarrow \text{Et}-\text{Cl} + \text{Cl}\cdot$ . Chlorination of simple alkanes such as these is seldom useful for the preparation of mono-chloro derivatives, as this first product readily undergoes further attack by the highly reactive chlorine, and complex product mixtures are often obtained.

Ease of attack on differently situated hydrogen atoms in an alkane is found to increase in the sequence,

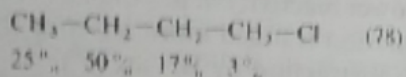


i.e. in the order of weakening of the  $\text{C}-\text{H}$  bond, and of increasing stability of the product radical (*cf.* p. 310); the figures quoted are for the relative rates of abstraction of H by  $\text{Cl}\cdot$  at  $25^\circ$ . This differential may often be opposed by a statistical effect, i.e. relative numbers of the different types of hydrogen atom available; thus in  $(\text{CH}_3)_3\text{CH}$  there are nine primary hydrogen atoms available to every one tertiary hydrogen atom. On chlorination  $(\text{CH}_3)_3\text{CH}$  is found to yield mono-chloro products in the ratio of  $\approx 65\%$   $(\text{CH}_3)_2\text{CHCH}_2\text{Cl}$  to  $35\%$   $(\text{CH}_3)_3\text{CCl}$ —which is only roughly in accord with the rate ratios quoted above, after 'statistical' allowance has been made. If chlorination is carried out in solution, the product distribution is found to depend on the nature of the solvent, and particularly on its ability to complex with  $\text{Cl}\cdot$ , thereby stabilising it and thus increasing its selectivity as compared with its reaction in the vapour phase.



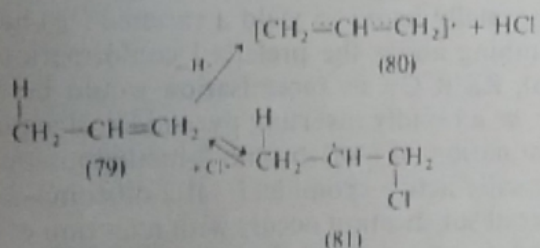
Selectivity in halogenation is found to decrease with rise in temperature.

Halogenation, and particularly chlorination, unlike most radical reactions, is markedly influenced by the presence in the substrate of polar substituents; this is because  $\text{Cl}\cdot$ , owing to the electronegativity of chlorine, is markedly electrophilic (cf. p. 314), and will therefore attack preferentially at sites of higher electron density. Chlorination will thus tend to be inhibited by the presence of electron-withdrawing groups, as is seen in the relative amounts of substitution at the four different carbon atoms in 1-chlorobutane (78) on photochemically initiated chlorination at  $35^\circ$ :



The variation over the three different  $\text{CH}_2$  groups nicely demonstrates the falling off with distance of the electron-withdrawing inductive effect of Cl. The  $\gamma$ -(3-) $\text{CH}_2$  group is behaving essentially analogously to that in  $\text{CH}_3\text{CH}_2\text{CH}_2\text{CH}_3$ , while the lower figure for the  $\text{CH}_3$  group reflects the greater difficulty of breaking the C—H bond in  $\text{CH}_3$  than in  $\text{CH}_2$  (see above).

With propene,  $\text{CH}_3\text{CH}=\text{CH}_2$  (79), there is the possibility of either addition of chlorine to the double bond, or of attack on the  $\text{CH}_3$  group. It is found that at elevated temperatures, e.g.  $\approx 450^\circ$  ( $\text{Cl}\cdot$  then being provided by thermolysis of  $\text{Cl}_2$ ), substitution occurs to the total exclusion of addition. This is because the allyl radical (80) obtained by H-abstraction is stabilised by delocalisation, whereas the one (81) obtained on  $\text{Cl}\cdot$  addition is not, and its formation is in any case reversible at elevated temperatures, the equilibrium lying over to the left:



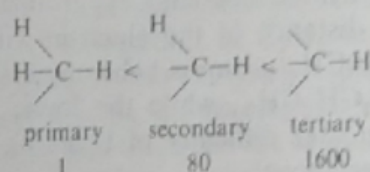
Cyclohexene undergoes analogous 'allylic' chlorination for the same reasons.

So far as the other halogens are concerned, the  $\Delta\text{H}$  values—in  $\text{kJ (kcal) mol}^{-1}$ —for the two steps of the halogenation chain reaction (p. 324) on  $\text{CH}_4$  are as follows:

	(1) $\text{X}\cdot + \text{H}-\text{CH}_3$	(2) $\text{CH}_3\cdot + \text{X}_2$
$\text{F}_2$	-134 (-32)	-292 (-70)
$\text{Cl}_2$	-4 (-1)	-96 (-23)
$\text{Br}_2$	+63 (+15)	-88 (-21)
$\text{I}_2$	+138 (+33)	-75 (-18)

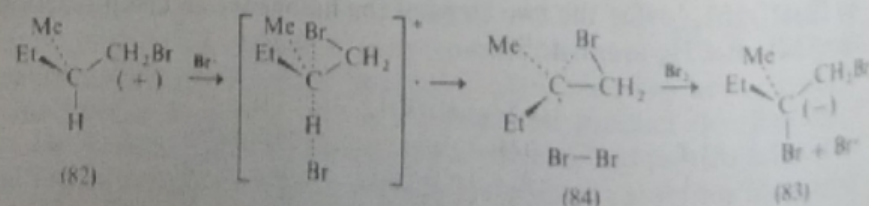
The figures for fluorination reflect the weakness of the F—F [150 kJ (36 kcal) mol<sup>-1</sup>], and the strength of the H—F [560 kJ (134 kcal) mol<sup>-1</sup>], bonds. Fluorination normally requires no specific initiation (*cf.* p. 324), and is explosive unless carried out at high dilution. That fluorination does proceed by a radical pathway, despite not requiring specific initiation, is demonstrated by the fact that chlorination may be initiated in the dark, and at room temperature, by the addition of small traces of F<sub>2</sub>. Bromination is a good deal slower than chlorination, under comparable conditions, as step (1)—H-abstraction by Br·—is commonly endothermic. This step is usually so endothermic for 1· that direct iodination of alkanes does not normally take place.

The markedly lower reactivity of Br· than Cl· towards H-abstraction means that bromination is much more *selective* than chlorination (the figures refer to H-abstraction by Br· at 25°):



A fact that can be put to preparative/synthetic use; thus bromination of (CH<sub>3</sub>)<sub>3</sub>CH is found to yield only (CH<sub>3</sub>)<sub>3</sub>CBr (*cf.* chlorination, p. 324). The effect is more pronounced when substituents are present that can stabilise the initial radical; thus across the series, CH<sub>4</sub>, PhCH<sub>3</sub>, Ph<sub>2</sub>CH<sub>2</sub> and Ph<sub>3</sub>CH the relative rates of bromination differ over a range of 10<sup>9</sup>, but only over a range of 10<sup>3</sup> for chlorination. Selectivity decreases with rise of temperature, however.

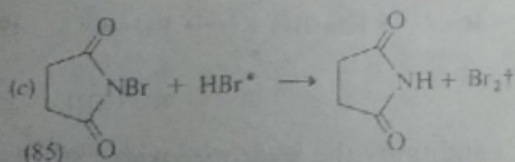
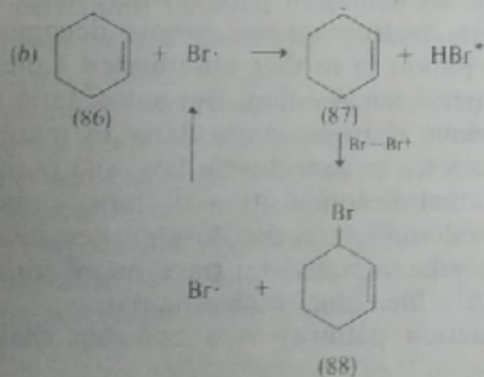
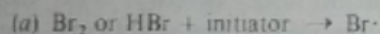
Halogenation of an optically active form of a chiral alkane, RR'R''CH, is normally found to yield a racemic (±) halide—a result that tells us nothing about the preferred conformation of the intermediate radical, RR'R''C·, as racemisation would be observed with *either* a planar, *or* a rapidly inverting pyramidal, structure (*cf.* p. 310). However, bromination of (+)-1-bromo-2-methylbutane (82) is found to yield an optically active bromide, (-)-1,2-dibromo-2-methylbutane (83), i.e. the overall substitution occurs with retention of configuration. This is believed to result from the original (1-)bromo substituent interacting with one side of the intermediate radical (84)—the one opposite to that from which H has been abstracted—and so promoting attack by Br<sub>2</sub> on the other, thus leading to retention of configuration:



Bromination of an optically active form of the corresponding chloro compound (1-chloro-2-methylbutane) also results in an optically active product, and retention of configuration. It may be that an actual bridged radical is formed, but a somewhat less concrete inter-chlorine is found to lead wholly to racemisation.

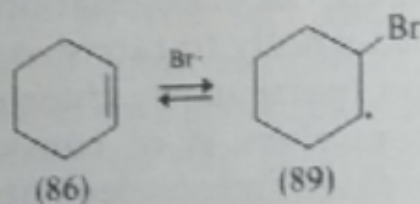
Radical halogenation (particularly chlorination) by reagents other than the halogens themselves is of considerable synthetic importance because of its greater stereoselectivity. Thus chlorination may be effected through reaction with alkyl hypochlorites,  $\text{ROCl}$  (e.g.  $\text{R} = \text{Me}_3\text{C}$ ), in the presence of radical initiators, the latter abstracting  $\text{Cl}$  to form  $\text{RO}\cdot$  which has been shown to be the species that abstracts  $\text{H}$  from  $\text{RH}$ ; this reagent is used particularly for allylic chlorination. Another useful reagent for preparative chlorination is  $\text{SO}_2\text{Cl}_2$ , the radical initiator again abstracts  $\text{Cl}$  to yield  $\cdot\text{SO}_2\text{Cl}$ , and both this species and the  $\text{Cl}\cdot$  it yields by loss of  $\text{SO}_2$  can act as  $\text{H}$ -abstractors from  $\text{RH}$ .

Another reagent that is extremely useful synthetically is *N*-bromosuccinimide (NBS, 85), which is highly selective in attacking only weak  $\text{C}-\text{H}$  bonds, i.e. at allylic, benzylic, etc., positions. It requires the presence of radical initiators, and has been shown to effect bromination through providing a constant, but very low, ambient concentration of  $\text{Br}_2$ —this is maintained through reaction of the  $\text{HBr}$  produced in the reaction with NBS (c, below). There is usually a trace of  $\text{Br}_2$  or  $\text{HBr}$  in the NBS that can react with the initiator to generate the initial  $\text{Br}\cdot$  to start reaction (a, below):





Control of the bromine concentration is maintained by reaction (c) which is fast, though ionic, but can be activated only by HBr produced in the chain reaction (b). The alternative reaction of addition of Br $\cdot$  to the double bond to form (89) is reversible,

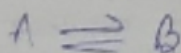


while formation of (87) is not; overall substitution is thus favoured over addition so long as  $[\text{Br}_2]$  is kept low. The radical (87) is also stabilised by delocalisation, while (89) is not (*cf.* p. 311). Support for the above interpretation of the reaction of NBS is provided: (i) by the fact that NBS shows exactly the same selectivity ratios as does  $\text{Br}_2$ , and (ii) by the fact that cyclohexene (86) is found to undergo largely addition with high concentrations of bromine, but largely allylic substitution with low (it is necessary to remove the HBr produced—as happens with NBS).

# **General Treatment of Reaction Mechanism II**

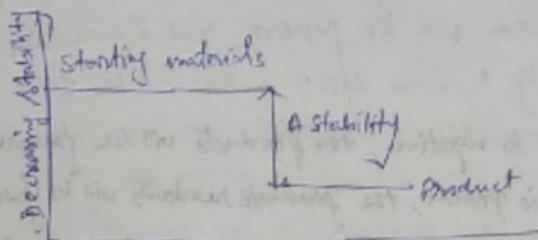
## Reaction Thermodynamics

How far and how fast a reaction can go?



$$K = \frac{[B]}{[A]}$$

where  $K$  = equilibrium constant



Now  $A$  will move towards  $B$  when it get some stability. However, it becomes apparent that the simple energy change that occurs on going from starting materials to products, and that may readily be measured as the heat of reaction,  $\Delta H^\ddagger$ , is not an adequate measure of the difference in stability between them, for there is often found to be no correlation between  $\Delta H$  and the equilibrium constant for the reaction,  $K$ . It is often found that highly exothermic reactions having small equilibrium constants and highly endothermic reactions having high equilibrium constants. Now why this happens?

According to second law of thermodynamics, which is essentially concerned essentially with probabilities and with the tendency for ordered systems to become disordered; a measure of the degree of disorder of a system being provided by its entropy,  $S$ . In seeking their most stable condition, systems tend towards minimum energy (actually enthalpy  $H$ ) and maximum entropy (disorder or randomness), a measure of their relative stability must thus embrace a comparison between  $H$  and  $S$ , and is provided by the Gibbs free energy,  $G$  which is defined by

$$G = H - TS$$

where  $T$  is the absolute temperature. The free energy change during a reaction at a particular temperature, is thus given by

$$\Delta G = \Delta H - T\Delta S$$

and it is found that the change in free energy in going from starting materials to products,  $\Delta G^\ominus$  ( $\Delta G^\ominus$  refers to the change under standard conditions at unit activity; takes exactly at unit, i.e. molar concentration), is related to the equilibrium constant,  $K$ , for the change by the relation,

$$-\Delta G^\ominus = 2.303 RT \log K.$$



$$\text{Now } \Delta G = -2.303 RT \log K \quad \text{or} \quad \Delta G = -RT \ln K$$

The sign of  $\Delta G$  tells us whether products or reactants are favoured at equilibrium:

When the equilibrium lies to the side of the reactants, rather than the products  $K$  will be less than 1. This means that its logarithm must be negative and, because  $\Delta G = -RT \ln K$ ,  $\Delta G$  must be positive. Conversely, for a reaction in which products are favoured over reactants,  $K$  must be greater than 1, its logarithm will be positive, and hence  $\Delta G$  must be negative. When  $K$  is exactly 1, since  $\ln 1 = 0$ ,  $\Delta G$  will be zero.

Thus, If  $\Delta G$  for a reaction is negative, the products will be favoured at equilibrium.

If  $\Delta G$  for a reaction is positive, the reactants will be favoured at equilibrium.

If  $\Delta G$  for a reaction is zero, the equilibrium constant for the reaction will be 1.

Now from the eqn. of previous page.

$$\Delta G = \Delta H - T\Delta S$$

Now value of  $\Delta G$  depends upon  $\Delta H$  as well as  $\Delta S$ .

Now  $\Delta H = (-)$ ve for an exothermic reaction.

$\Delta H = (+)$ ve for an endothermic reaction.

$\Delta S = (+)$ ve when reaction moves towards more random state.

$\Delta S = (-)$ ve when reaction moves towards less random state.

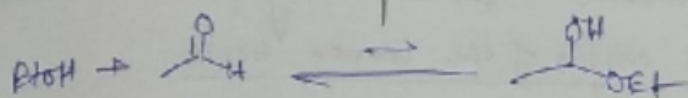
Now we will get negative  $\Delta G$  most readily if,

1.  $\Delta H$  is negative, i.e. the reaction is exothermic, and
2.  $\Delta S$  is positive. (and hence  $-T\Delta S$  is negative) i.e. the reaction becomes more disordered.

Of course, we can still get a negative  $\Delta G$  from an endothermic reaction (i.e. from a positive  $\Delta H$ ) but only if the reaction products are more disordered than the starting materials; likewise a reaction which becomes more ordered as it proceeds can still be favourable, but only if it is exothermic to compensate for the loss of entropy.

Because of the factor  $T$  multiplying the entropy term, both the equilibrium constant  $K$  (which depends on  $\Delta G$ ) and the relative importance of the two quantities ( $\Delta H$  and  $\Delta S$ ) will vary with temperature. (Entropy changes are more important at higher temperature).

## Intermolecular and Intramolecular reactions:

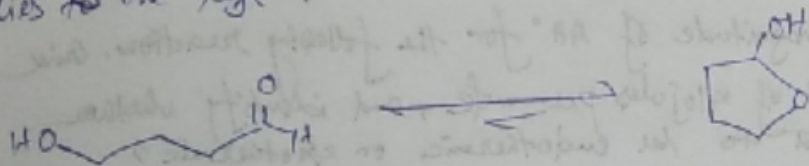


Intermolecular hemiacetal formation

$\Delta H$  is small and negative because  $C=O$  double bond is slightly less stable than  $2 \times C-O$  single bonds.

$\Delta S$  is negative because the one molecule of product is intrinsically less disordered than the two molecules of starting material.

Since  $\Delta G = \Delta H - T\Delta S$ ,  $\Delta G$  is positive and the equilibrium lies to the left.



Intramolecular hemiacetal formation

$\Delta H$  is again small and negative because  $C=O$  double bond is slightly less stable than  $2 \times C-O$  single bonds.

$\Delta S$  is no longer negative; there is no decrease in the number of molecules in this reaction.

Since  $\Delta G = \Delta H - T\Delta S$ ,  $\Delta G$  is negative and the equilibrium lies to the right.



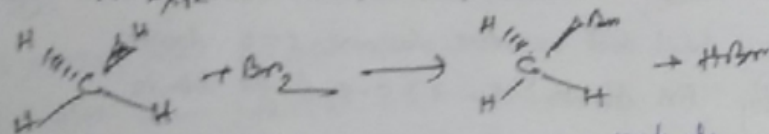
2. Calculation of energies enthalpy using bond dissociation energies:
- a) Bond dissociation energies describe the strength of chemical bonds. They can be determined experimentally.
  - b) Enthalpy is the sum of bond dissociation energies of the reactions.

(-) = making of bonds = Exothermic    (+) = breaking of bonds = Endothermic

Bond dissociation energies of the common bonds.

Bond	KJ/mole	Bond	KJ/mole	Bond	KJ/mole
H-OH	428	H-C-H	436	H-C-CH <sub>3</sub>	436
H-H	436	H-C-OH	321	H-O-OH	213
H-Br	368	H-C-Br	293	Br-Br	192
H-I	297	H-S-I	234	I-I	151
<u>H-bonds</u>		<u>Molecules</u>		<u>Each other</u>	

- Predict the sign and magnitude of  $\Delta H^\circ$  for the following reaction. Give your answer in units of kilojoules per mole, and identify whether the reaction is expected to be endothermic or exothermic?



breaking of bonds =

$$\begin{array}{r}
 \text{CH}_3 - \text{H} = +436 \text{ KJ/mole} \\
 \text{Br} - \text{Br} = +192 \text{ KJ/mole} \\
 \hline
 +628 \text{ KJ/mole}
 \end{array}$$

making of bonds =

$$\begin{array}{r}
 \text{CH}_3 - \text{Br} = (-) 293 \text{ KJ/mole} \\
 \text{H} - \text{Br} = (-) 368 \text{ KJ/mole} \\
 \hline
 (-) 661 \text{ KJ/mole}
 \end{array}$$

~~Thus  $\Delta H^\circ = 628 \text{ KJ/mole} + (-661 \text{ KJ/mole}) = -33 \text{ KJ/mole}$~~

Thus  $\Delta H^\circ =$  Sum of bond dissociation energies of the reaction

$$\begin{aligned}
 &= +628 \text{ KJ/mole} + (-661 \text{ KJ/mole}) \\
 &= -33 \text{ KJ/mole}
 \end{aligned}$$

Thus the reaction is exothermic and  $\Delta H^\circ = -33 \text{ KJ/mole}$



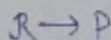
## Chemical Kinetics: →

Thermodynamics can predict

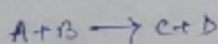
- (i) direction
  - (ii) extent of a chemical rxn.
- But it cannot predict
- (i) time required for completion
  - (ii) mechanism of a chemical rxn.

Rate/velocity:

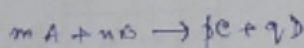
It is the amount of chemical change occurring in unit time.



$$\text{rate} = v = -\frac{d[R]}{dt} = \frac{d[P]}{dt} \quad [\because \text{Rate is +ve quantity}]$$



$$v = -\frac{d[A]}{dt} = -\frac{d[B]}{dt} = \frac{d[C]}{dt} = \frac{d[D]}{dt}$$



Let  $d\xi$  be the advancement of the above rxn in time  $dt$  <sup>interval</sup>

$\therefore$  change in conc<sup>n</sup> of A  $\rightarrow m d\xi$

$$-d[A] = m d\xi \quad \text{(i)}$$

Similarly,

$$-d[B] = n d\xi \quad \text{(ii)}$$

$$d[C] = p d\xi \quad \text{(iii)}$$

$$d[D] = q d\xi \quad \text{(iv)}$$

Dividing both sides of eq<sup>s</sup> (i) to (iv) by  $dt$

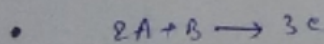
$$-\frac{d[A]}{dt} = m \frac{d\xi}{dt}$$

$$-\frac{d[B]}{dt} = n \frac{d\xi}{dt}$$

$$\frac{d[C]}{dt} = p \frac{d\xi}{dt}$$

$$\frac{d[D]}{dt} = q \frac{d\xi}{dt}$$

$$\therefore v = \frac{d\xi}{dt} = -\frac{1}{m} \frac{d[A]}{dt} = -\frac{1}{n} \frac{d[B]}{dt} = \frac{1}{p} \frac{d[C]}{dt} = \frac{1}{q} \frac{d[D]}{dt}$$



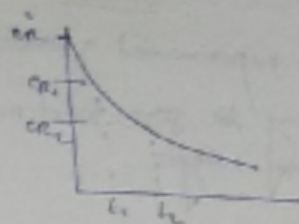
$$v = -\frac{1}{2} \frac{d[A]}{dt} = -\frac{d[B]}{dt} = \frac{1}{3} \frac{d[C]}{dt}$$

unit: moles lit<sup>-1</sup>s<sup>-1</sup> / moles lit<sup>-1</sup>min<sup>-1</sup> / moles lit<sup>-1</sup>hour<sup>-1</sup>

Rate

Average rate  $\frac{C_{A2} - C_{A1}}{t_2 - t_1}$

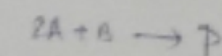
Instantaneous rate  $= \lim_{\Delta t \rightarrow 0} \frac{\Delta C_A}{\Delta t}$



### Rate law / Rate equation:

rxn and conc<sup>n</sup> of

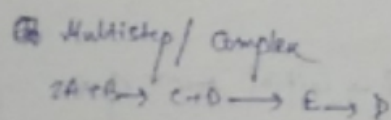
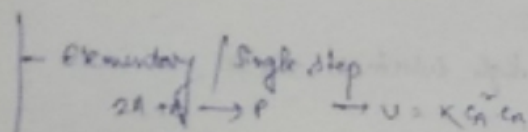
It is an experimentally obtained relation between rate of a



$$v = k [C_A]^m [C_B]^n \quad \dots \text{Rate law}$$

Rate constant

### Chemical rxn



Order It is the sum of the exponents of the conc<sup>n</sup> terms used in rate law, expressed in multiplicative form.

$$v = k [C_A]^m [C_B]^n \quad \text{Order} = m+n$$

order is an experimental quantity.

order may have any value, i.e., it may be zero, fraction, integer, +ve and -ve.

### Molecularity:

rxn.

It is the no of molecules taking part in an elementary step of a chemical

Molecularity is a theoretical quantity and it is always a +ve integer.

① A zero order rxn must be multistep. Explain.

We know for a single step or elementary rxn, order and molecularity are same. Therefore if a zero order rxn be an elementary rxn its molecularity should also be zero which means no molecule is taking part in the rxn. This is an absurd concept. A zero order reaction must be multistep.

### Order

- ① It is an experimental quantity.
- ② Order may be zero, fractional or even an integer.
- ③ Order of a particular rxn may vary with the experimental conditions. (i.e.; pressure, temperature, concentration of the reactants).  
For eg, enzyme catalysed rxn is first order at low substrate conc<sup>n</sup> and zero order at high substrate conc<sup>n</sup>.

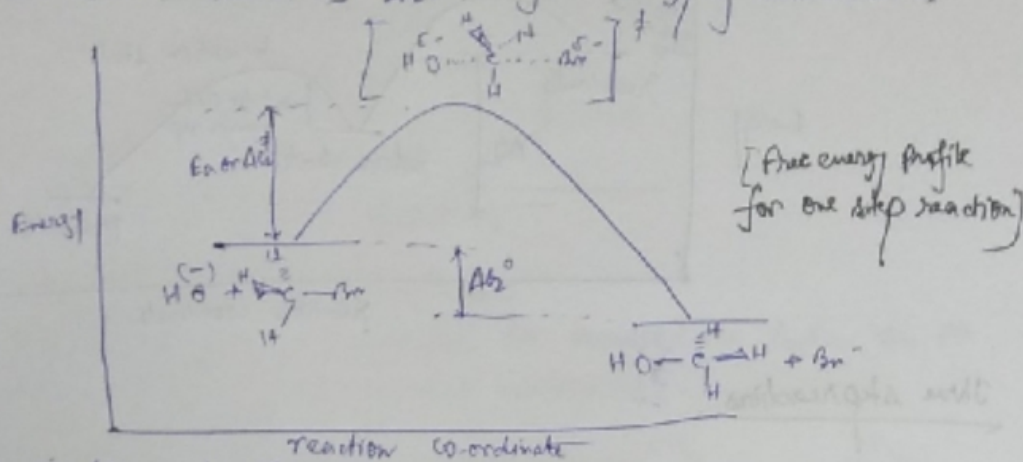
### Molecularity

- ① It is a theoretical quantity.
- ② Molecularity is always a positive integer.
- ③ Molecularity of a definite rxn is always constant.



Thermodynamics is concerned with equilibria; kinetics is concerned with rates. i.e. How fast do reactions go?

From the previous study we learn that  $\Delta G$  when  $\Delta G < 0$  then the reaction is feasible. But the rate at which product will form can never be predicted from this value. Formation of product is solely dependent upon an energy barrier which is generally needed to be overcome. This energy barrier is termed as  $\Delta G^\ddagger$ , i.e. free energy of activation.



Thus higher is the value of  $\Delta G^\ddagger$ , the slower is the reaction and it can be considered as being made up of enthalpy ( $\Delta H^\ddagger$ ) and entropy ( $T\Delta S^\ddagger$ ) terms.

$\Delta H^\ddagger$  (the enthalpy of activation) corresponds to the energy necessary to effect the stretching or even breaking of bonds that is essential for reaction to take place.

$\Delta S^\ddagger$  term (the entropy of activation) again relates to randomness. It is a measure of the change in degree of organisation, or ordering of ~~the~~ the reacting molecules.

The magnitude of  $E_{act}/\Delta G^\ddagger$  for a reaction may be calculated from values of  $k$ , the rate constant, determined at two different temperatures,  $T_1$  &  $T_2$ . ~~using~~ using the Arrhenius expression which relates  $k$  to  $T$ , the absolute temperature;

$$k = Ae^{-E/RT} \quad \text{or} \quad \log_{10} k = -\frac{E_{act}}{2.303RT} + \log_{10} A$$

where  $R$  is the gas constant (8.32 J mol<sup>-1</sup> K<sup>-1</sup>).

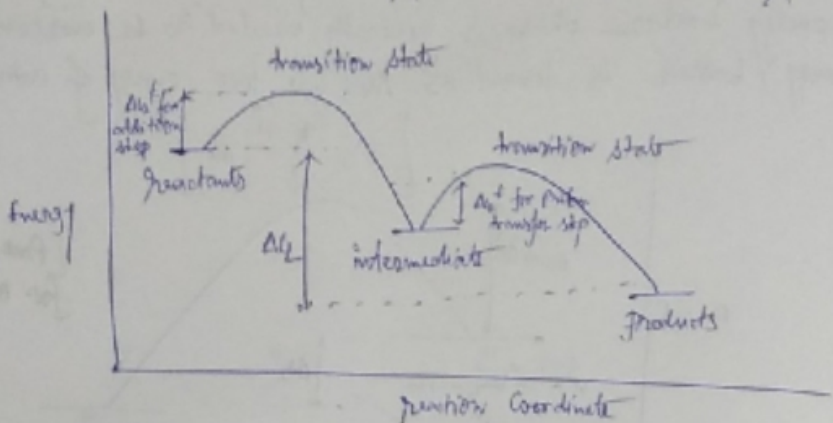
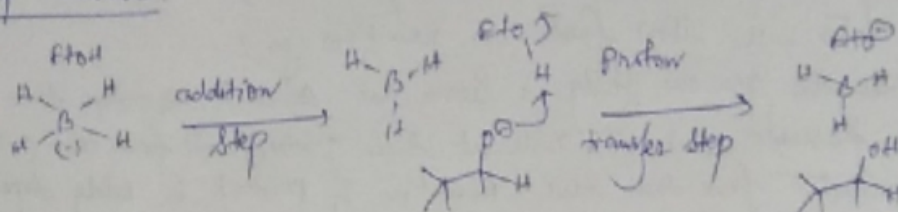
The value of  $E_{act}$  may then be obtained graphically by plotting values of  $\log_{10} k$  against  $\frac{1}{T}$ , or by conversion of the above equation into

$$\log_{10} \frac{k_1/k_2}{T_1/T_2} = -\frac{E_{act}}{2.303R} \left[ \frac{1}{T_1} - \frac{1}{T_2} \right]$$

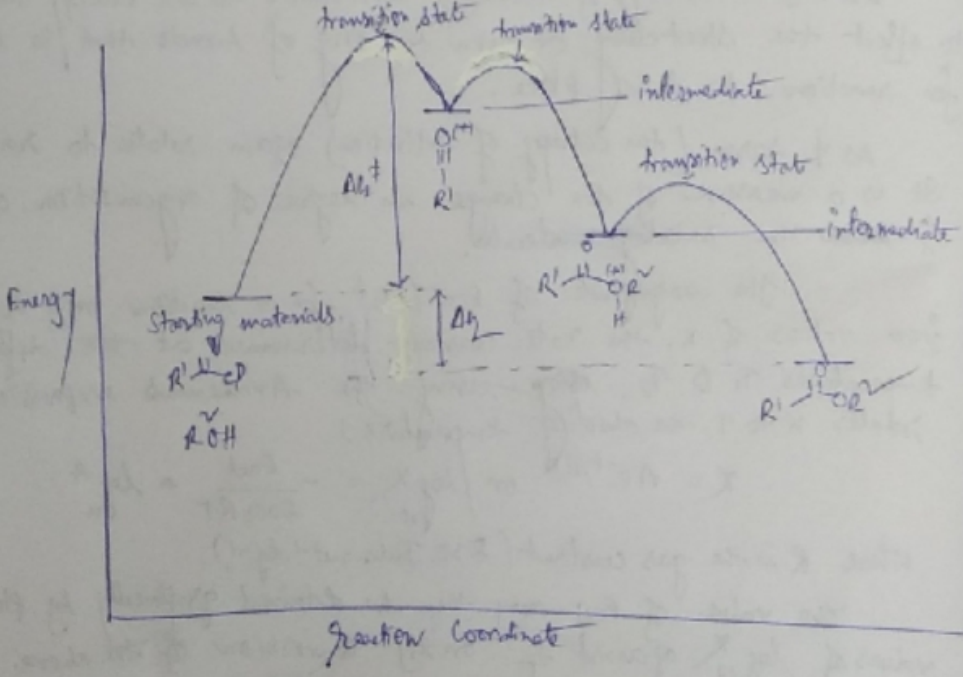
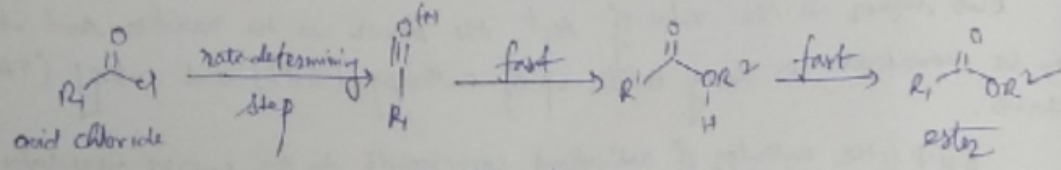
and subsequent calculation.

• Free energy profiles for two and three step reactions:

Two step reactions:



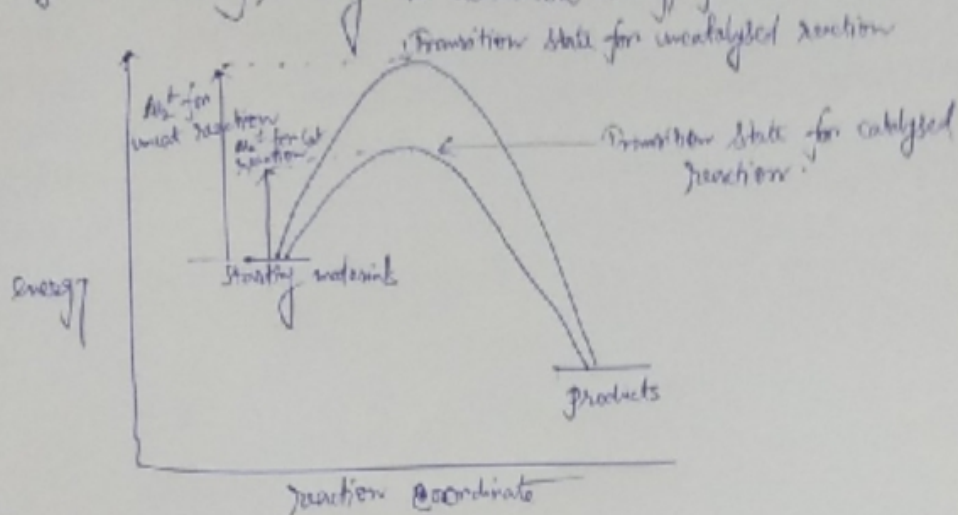
Three step reactions:





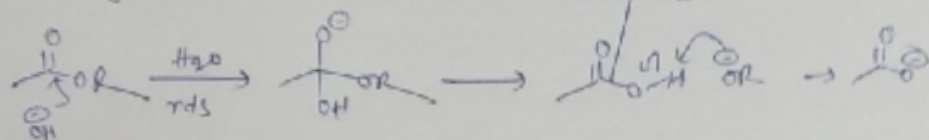
## III Catalysis:

Catalysts work by lowering the activation energy for a reaction.

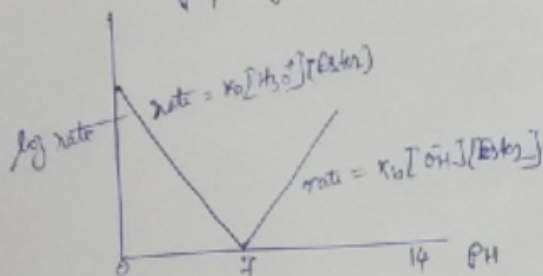


## IV Catalysis in carbonyl substitution reactions:

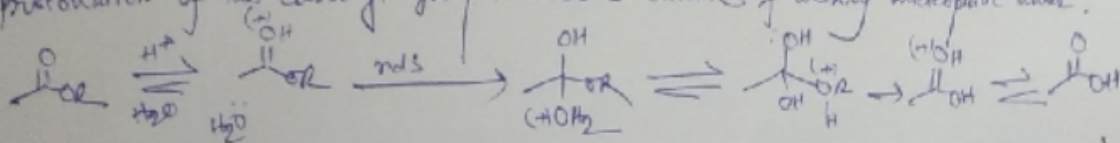
Ester hydrolysis is faster at higher pH because the higher the pH, the more hydroxide there is to act as a nucleophile.



We can plot this on a graph of rate vs pH.



The rate equation at high pH is second order, and depends on the concentration of hydroxide and the concentration of ester. Though, below pH 7 the rate starts to increase again as the concentration of  $[H^+]$  increases. This is because ester hydrolysis is also acid catalysed. At acidic pH, a new mechanism takes over in which protonation of the carbonyl group accelerates attack of weakly nucleophilic water.

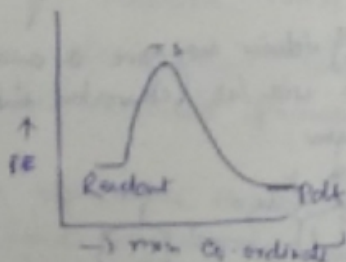


- The mechanism of a reversible reaction is exactly the same (but reversed) for both the forward and backward version of the reaction. This principle of microscopic reversibility states that - The same pathway that is ~~also~~ traveled in the forward direction of a reaction will be traveled in the reverse direction, because it affords the lowest energy barrier for either process.

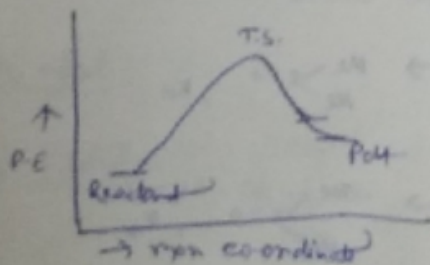


## Hammond Principle

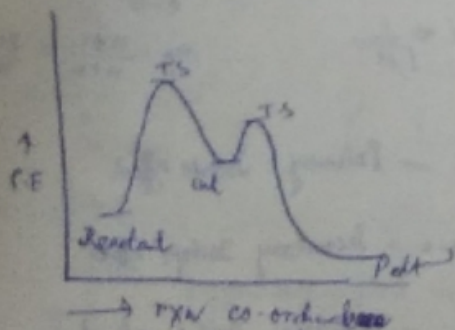
From the above energy profile diagram we can say that the position of TS must be somewhere between the position of reactants and products. It may ~~either~~ Either it may be closer to the reactant or it may be closer to the product. If the reaction is strongly exothermic, the position of TS will be closer to the reactant in a single step reaction as shown below.



In case of strong endothermic single step reaction, position of T.S will be close to the product molecule as shown below.



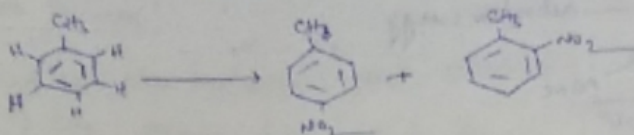
These generalisations hold good when the reaction proceeds through intermediates. Here the intermediate is the product of first T.S and the reactant of the second T.S as shown below.



This generalisation is known as the Hammond principle.

# KCP & TCP $\Rightarrow$ (Kinetic and Thermodynamic Control)

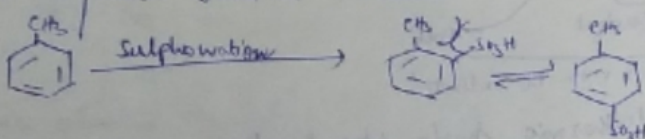
$\Delta E^\ddagger$  [Hopper]  $\rightarrow$  Activation energy



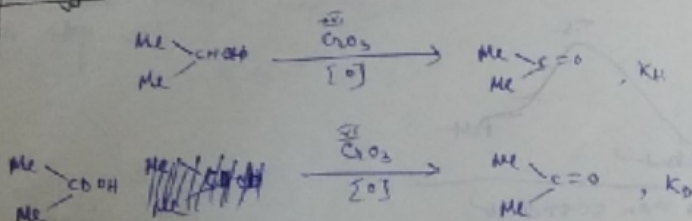
When a starting material may be converted into two or more alternative products, for example nitration of toluene where the benzene ring contains -CH<sub>3</sub> group, the proportion in which the products are formed often determined by the relative rate of formation or relative activation energy of formation. The faster a pdt is formed, its amount will be major in the final product mixture. This is known as Kinetic Control and the major pdt is called KCP.

If one or more of the reactions is reversible or if the ptds are readily interconvertible under the condition of the reaction, then the composition of the final ptd mixture may not be dictated by the relative rates of formation of the different ptds. Composition of the final mixture will be dictated by their relative thermodynamic stabilities in the reaction system. This is called Thermodynamic Control and the major ptd is called TCP.

For example Sulphonation of toluene may give o- and p- isomer but if we allow sufficient time o- will be converted into p- isomer where steric repulsion will be minimum.

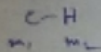


## Isotope effect:



$$k_H \neq k_D$$

Harvey's Law



$$\bar{v}(\text{C-H}) = \frac{1}{2\pi c} \sqrt{\frac{k}{\mu}}$$

$$\mu = \frac{m_1 m_2}{m_1 + m_2}$$

$$\bar{v} \propto \frac{1}{\sqrt{\mu}}$$



$$\mu_D = \frac{12 \times 2}{12 + 2} = \frac{24}{14} \approx 1.71$$

$$\mu_H = \frac{12 \times 1}{12 + 1} = \frac{12}{13} \approx 0.92$$

$$\frac{k_H}{k_D} \gg 1 - \text{Primary Isotope effect}$$

$$\frac{k_H}{k_D} \gg 1.2 - \text{Secondary Isotope effect}$$

$$\frac{k_H}{k_D} < 1 - \text{Inverse Isotope effect}$$



Changes in the reaction rate due to isotopic substitution either in the substrate or in the solvent molecules, are known as kinetic isotope effect. For example we may consider the chromic acid oxidation of isopropyl alcohol to acetone. When isopropyl alcohol and  $\alpha$ - $D$ -deuterated isopropyl alcohol are subjected to oxidation, it is found that the rate constants,  $k_H$  and  $k_D$  are not identical. So here kinetic isotope effect is observed.

Depending upon the ratio of  $k_H/k_D$  isotope effects may be classified into the following three types.

- i) Primary kinetic isotope effect, here  $k_H/k_D \gg 7$ .
- ii) Secondary kinetic isotope effect, here  $k_H/k_D \approx 1-2$ .
- iii) Inverse isotope effect, here  $k_H/k_D < 1$ .

$k_H$  = rate constant of the ~~substrate~~ reaction involving the breaking of C-H bond.

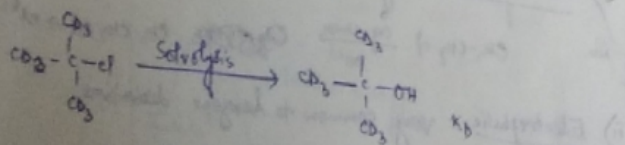
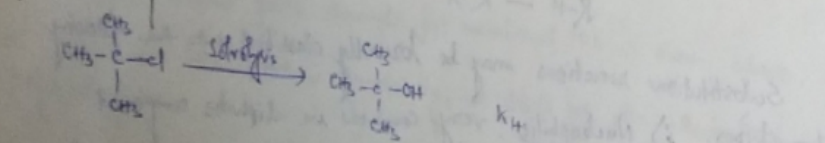
$k_D$  = rate constant of the reaction involving the breaking of C-D bond.

### Primary kinetic isotope effect:

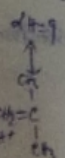
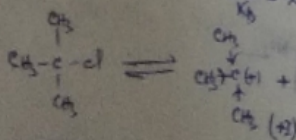
If a reaction involves the breaking of C-H bond in the rds and if we replace the H by D, then primary kinetic isotope effect is observed. For example oxidation of isopropyl alcohol to acetone by chromic acid involves breaking of C-H bond in the rds and it will exhibit primary kinetic isotope effect. In fact here  $k_H/k_D \gg 7$ .

When we replace the H atom of C-H bond by D, reduced mass increases energy level of this bond becomes low and stability increases with decreasing vibrational wave number so it becomes difficult to break C-D bond rather than C-H bond. Thus isotopic substitution makes this reaction slower. In fact C-D bond is more stable than C-H bond.

### Secondary kinetic isotope effect:

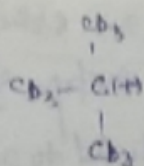


$$\frac{k_H}{k_D} \approx 1.34$$



Hyperconjugation involves C-H bond breaking.





Hyperconjugation is difficult due to higher bond energy of C-D,

There are so many reactions where C-H bond does not break in the rds. C-H ~~or~~ bond may break in other step. A Secondary Kinetic Isotope effect is the change observed in the rate of a reaction when an atom of the substrate is replaced by its isotope at a bond which is not broken & or formed during the reaction.

For example Secondary Kinetic Isotope effect is observed in the above solvolysis reaction. The exact reason behind this effect is not clear to us but probable reaction may be hyperconjugation. As this reaction passes through carbocation intermediate and the carbocation is stabilised by hyperconjugation involving breaking of C-H bond and C-D bond as shown above. We know C-D bond is more stable than C-H bond. So, C-H is more effective than C-D in hyperconjugation effect, so rate ~~become~~ become slower when H is replaced by D.

In case of inverse isotope effect  $\frac{k_H}{k_D}$  ratio becomes  $< 1$ .